

# Smooth Solutions to the ABC Equation

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# Credits

- This talk reports on joint work with [K. Soundararajan](#) (Stanford)
- [graphics](#) in this talk were taken off the web using Google images.  
(Google search methods use number(s) theory.)
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# 1. (Contents of Talk)-1

- The talk considers the ABC equation

$$A + B + C = 0.$$

This is a  
homogeneous linear Diophantine equation.

- We study **multiplicative** properties of the solutions  $(A, B, C)$ , i.e. solutions with restrictions on their prime factorization.

## Contents of Talk-2

- The **height** of a triple  $(A, B, C)$  is

$$H := H(A, B, C) = \max\{|A|, |B|, |C|\}$$

- The **radical** of a triple  $(A, B, C)$  is

$$R := R(A, B, C) = \prod_{p|ABC} p$$

- The **smoothness** of a triple  $(A, B, C)$  is

$$S := S(A, B, C) = \max\{p : p \text{ divides } ABC\}.$$

## Contents of Talk-3

- The *ABC Conjecture* concerns the relation of the *height* and the *radical* of relatively prime triples  $(A, B, C)$ .
- We consider the relation of the *height* and the *smoothness* of relatively prime triples.
- We formulate the *XYZ Conjecture* concerning this relation.

## An Example

- $(A, B, C) = (2401, -2400, -1)$
- $2401 = 7^4$   
 $2400 = 2^5 \cdot 3 \cdot 5^2$   
 $1 = 1$
- The **height** is  $H = 2401$ .
- The **radical** is  $R = 2 \cdot 3 \cdot 5 \cdot 7 = 210$ .
- The **smoothness** is  $S = 7$ .

## Another Example

- $(A, B, C) = (2^{n+1}, -2^n, -2^n)$
- The height is  $H = 2^{n+1}$ .
- The radical is  $R = 2$ .
- The smoothness is  $S = 2$ .
- Relative primality condition needed:  
Without it get infinitely many solutions  
with small radical  $R$  (resp. smoothness  $S$ ),  
arbitrarily large height  $H$ .



## The Basic Problem

**Problem.** How small can be the smoothness  $S$  be, as a function of the height  $H$ ,  
so that:

There are **infinitely many** relatively prime triples  $(A, B, C)$  with these values satisfying  $A + B + C = 0$ ?

# Nomenclature

- A **smooth number** is a number all of whose prime factors are “small.” This means all prime factors at most  $y$ , where  $y$  is the **smoothness bound**.
- Some authors call such numbers **friable**. In English, this means: **brittle, easily crumbled or crushed into powder**.

# *ABC* Conjecture

- *ABC Conjecture*. There is a positive constant  $\alpha_1$  such that:

- For any  $\epsilon > 0$  there are

(a) *infinitely many* relatively prime solutions  $(A, B, C)$  with radical

$$R \leq H^{\alpha_1 + \epsilon}$$

(b) *finitely many* relatively prime solutions  $(A, B, C)$  with radical

$$R \leq H^{\alpha_1 - \epsilon}.$$

- **Remark.** Most versions of *ABC* Conjecture assert  $\alpha_1 = 1$ .

## $XYZ$ Equation

- To avoid confusion with  $ABC$  Conjecture, we define the  $XYZ$  equation to be:
- $X+Y+Z=0$ .

# XYZ Conjecture

- **XYZ Conjecture.** There is a positive constant  $\alpha_0$  such that the XYZ equation  $X + Y + Z = 0$  has:

- For any  $\epsilon > 0$  there are

(a) **infinitely many** relatively prime solutions  $(X, Y, Z)$  with smoothness

$$S \leq (\log H)^{\alpha_0 + \epsilon}$$

(b) **finitely many** such solutions  $(X, Y, Z)$  with smoothness

$$S \leq (\log H)^{\alpha_0 - \epsilon}.$$

- **Question.** What should be the threshold value  $\alpha_0$ ?

## Counting Smooth Numbers

**Definition.**  $\Psi(x, y)$  counts the number of integers  $\leq x$  all of whose prime factors  $p \leq y$ .

**Notation.** The quantity

$$u := \frac{\log x}{\log y}$$

is very important in characterizing the size of  $\Psi(x, y)$ .

# Dickman Rho function

- $\rho(u)$  is a continuous function with  $\rho(u) = 1$  for  $0 \leq u \leq 1$ , determined by the difference-differential equation

$$u\rho'(u) = -\rho(u-1).$$

It is positive and rapidly decreasing on  $1 \leq u < \infty$ .

- The Dickman  $\rho$ -function is named after **Karl Dickman**, in his only published paper:
- “On the frequency of numbers containing prime factors of a certain relative magnitude,” Arkiv för Math., Astron. och Fysik **22A** (1930), 1–14.
- Dickman showed (heuristically) that

$$\Psi\left(x, x^{\frac{1}{\beta}}\right) \sim \rho(\beta)x.$$

# Logarithmic Scale

- For  $y = x^\beta$  a **positive proportion** of integers below  $x$  have all prime factors smaller than  $y$ .
- We consider smoothness bounds  $y$  where there are only some **positive power**  $x^\gamma$  of integers below  $x$  having factors smaller than  $y$ . This scale is  $y = (\log x)^\alpha$ .
- For  $\alpha > 1$  there holds

$$\Psi(x, (\log x)^\alpha) \sim x^{1-\frac{1}{\alpha}+o(1)}$$

- There is a **threshold** at  $\alpha = 1$ , below which  $\Psi(x, y) = O(x^\epsilon)$ ; it **qualitatively changes behavior**.



# Heuristic Argument-1

- “Claim”. The threshold value in the XYZ Conjecture ought to be  $\alpha_0 = \frac{3}{2}$ .
- Heuristic Argument. (a) Pick  $(A, B)$  to be  $y$ -smooth numbers. There are  $\Psi(x, y)^2$  choices. Assume these give mostly distinct values of  $C = -(A + B)$ .
- (b) The probability that a random  $C$  is  $y$ -smooth is  $\frac{\Psi(x, y)}{x}$ . Reasonable chance of at least one “hit” would require (assuming independence)

$$\Psi(x, y)^3 > x.$$

- (c) Thus take  $y$  so that  $\Psi(x, y) = x^{\frac{1}{3} + \epsilon}$ . Then  $1 - \frac{1}{\alpha} = \frac{1}{3}$  so  $\alpha = \frac{3}{2}$  and:

$$y = (\log x)^{\frac{3}{2} + \epsilon}.$$

## Heuristic Argument-2

- **Claim 2.** The **threshold value** in the **XYZ Conjecture** for relatively prime solutions to  $A + B = 1$  should be  $\alpha_0 = 2$ .
- **Heuristic Argument.** (a) Pick  $A$  to be a  $y$ -smooth numbers. There are  $\Psi(x, y)$  choices. Assume these give mostly distinct values of  $B = -(A - 1)$ .
- (b) The probability that a random  $B$  is  $y$ -smooth is  $\frac{\Psi(x, y)}{x}$ . Reasonable chance of at least one “hit” would require (assuming independence)

$$\Psi(x, y)^2 > x.$$

- (c) Thus take  $y$  so that  $\Psi(x, y) = x^{\frac{1}{2} + \epsilon}$ . Then  $1 - \frac{1}{\alpha} = \frac{1}{2}$  so  $\alpha = 2$  and:

$$y = (\log x)^{2 + \epsilon}.$$

## Example Revisited

- $(A, B, C) = (2401, -2400, -1)$ .
- $\log 2401 \approx 7.783$
- $(\log 2401)^2 \approx 60.584$
- $S = 7$ .
- Is this a “lucky” example? Numerically the matching value of  $\alpha = 1$ , not  $\alpha_0 = 2$  as in the heuristic.

# Main Result

- Theorem ( Alphabet Soup Theorem)  
 $ABC + GRH$  implies  $XYZ$ .
- This is a conditional result. It has two (unequal) parts.
- Lower Bound Theorem  
 $ABC$  Conjecture  $\implies$  the  $XYZ$  constant  $\alpha_0 \geq 1$ .
- Upper Bound Theorem  
Generalized Riemann Hypothesis (GRH)  
 $\implies$  the  $XYZ$  constant  $\alpha_0 \leq 8$ .

## Main Result: Comments

- The exact constant  $\alpha_0$  is not determined by the **Alphabet Soup Theorem**, only its existence is asserted.
- **Lower Bound Theorem** assuming *ABC* Conjecture: This is **Easy Part**.
- **Upper Bound Theorem** assuming *GRH*: This is **Hard Part**.

**Stronger result:** Get asymptotic formula for number of primitive solutions, for  $\alpha_0 > 8$ . Use **Hardy-Littlewood method (circle method)**.

## 2. Lower Bound assuming ABC Conjecture

- *ABC Conjecture.*

For each  $\epsilon > 0$  there are only **finitely many** relatively prime triples  $(A, B, C)$  having

$$R \leq H^{1-\epsilon}.$$

- Recall:
- The **height** of a triple  $(A, B, C)$  is

$$H := H(A, B, C) = \max\{|A|, |B|, |C|\}$$

- The **radical** of a triple  $(A, B, C)$

$$R := R(A, B, C) = \prod_{p|ABC} p$$

## Remarks: Lower Bound

- The *ABC Conjecture* implies many things: (asymptotic) Fermat's Last Theorem, etc.
- It is a powerful hammer, here we use it to crack something small.
- *XYZ* Lower bound is a very easy consequence of *ABC* Conjecture.

## (Conditional ) Lower Bound Theorem

- **Theorem.** (*XYZ Lower Bound*) Assuming the *ABC* Conjecture, the constant  $\alpha_0$  in the *XYZ* Conjecture satisfies.

$$\alpha_0 \geq 1.$$

- **Note:** This lower bound  $\alpha_0 \geq 1$  is exactly at the value  $(\log x)^\alpha$  where the behavior of  $\Psi(x, y)$  changes.



# Lower Bound Theorem-Proof

- (a) The **radical**  $R$  and **smoothness**  $S$  of any  $(A, B, C)$  are related by

$$R = \prod_{p|ABC} p \leq \prod_{p \leq S} p$$

- (b) This easily gives

$$R \leq \exp(S(1 + o(1))),$$

since  $\prod_{p \leq y} p = e^{y(1+o(1))}$ .

- (c) Argue by contradiction. Suppose, for fixed  $\epsilon > 0$ , have infinitely many solutions

$$S \leq (1 - \epsilon) \log H.$$

Combine with (b) to get, for such solutions,

$$R \leq e^{(1-\epsilon) \log H(1+o(1))} \ll H^{1-\frac{1}{2}\epsilon}.$$

This contradicts the  $ABC$  Conjecture.

# Unconditional Lower Bound

- **Theorem.** For each  $\epsilon > 0$  there are only **finitely many** relatively prime solutions to  $A + B + C = 0$  having height  $H$  and smoothness  $S$  satisfying

$$S \leq (3 - \epsilon) \log \log H$$

- **Proof.** Similar to above, but using unconditional result:
- **Theorem.** (Cam Stewart and Kunrui Yu )  
There is a constant  $c_1$  such that any primitive solution to  $A + B + C = 0$  has height  $H$  and radical  $R$  satisfying

$$H \leq \exp \left( c_1 R^{\frac{1}{3}} (\log R)^{\frac{1}{3}} \right).$$

## Cameron L. Stewart



Kunrui Yu



### 3. Upper Bounds assuming GRH

- We assume:
- Generalized Riemann Hypothesis. (GRH)  
All the zeros of the Riemann zeta function and all Dirichlet  $L$ -functions  $L(s, \chi)$  inside the critical strip  $0 < \operatorname{Re}(s) < 1$  have real part  $\frac{1}{2}$ .
- **Note.** We allow imprimitive Dirichlet characters so the  $L$ -functions may have complex zeros on the line  $\operatorname{Re}(s) = 0$ .

# Upper Bound Theorem

- **Theorem. (Height-Smoothness Upper Bound)** If the GRH holds, then for each  $\epsilon > 0$  there are infinitely many primitive solutions  $(A, B, C)$  for which the height  $H$  and smoothness  $S$  satisfy

$$S \leq (\log H)^{8+\epsilon}.$$

- **Corollary. (XYZ Conjecture Upper Bound)** If the GRH holds, then the constant  $\alpha_0$  in the XYZ Conjecture satisfies

$$\alpha_0 \leq 8.$$

# Remarks on Upper Bound Theorem-1

- Proof establishes a stronger result: An **asymptotic formula** counting the number of (weighted) solutions  $(X, Y, Z)$ .
- Approach to this uses the **Circle Method**, combined with the **Saddle-Point method** of Hildebrand-Tenenbaum for counting  $y$ -smooth numbers below a bound  $x$ .
- The method counts **all solutions**, without imposing the **relative primality condition**. An **inclusion-exclusion argument** is needed at the end to get relative primality.

## Remarks on Upper Bound Theorem-2

- Crucial new ingredient for minor arcs: An expansion of **additive characters** in terms of a set of **multiplicative functions**.
- The **additive characters** are the exponentials in the circle method.
- A “spanning set” of **multiplicative functions** (depending on a parameter  $y$ ) are Dirichlet characters of small conductor and multiplicative functions  $g_t(n) = n^{it}$  for some range of  $t$ . (The latter are “continuous spectrum”).



## Technical Main Theorem: Weighted Sums-1

- Let  $\Phi(x)$  be a “weight function” compactly supported on  $(0, \infty)$ .
- Main case: A “bump function” that is the constant 1 on  $[\epsilon, 1 - \epsilon]$  and is 0 outside  $[\frac{1}{2}\epsilon, 1 - \frac{1}{2}\epsilon]$ .
- Think:

$$\Phi(x) := \chi_{[0,1]}(x) = \begin{cases} 1 & \text{if } 0 \leq x \leq 1, \\ 0 & \text{if } x \geq 1 \end{cases}$$

(not compactly supported)

## Technical Main Theorem: Weighted Sums-2

- Weighted Sum to Estimate

$$N_{\Phi}(x, y) := \sum_{\substack{X, Y, Z \in \mathcal{S}(y) \\ X+Y=Z}} \Phi\left(\frac{X}{x}\right) \Phi\left(\frac{Y}{x}\right) \Phi\left(\frac{Z}{x}\right).$$

- Here  $\mathcal{S}(y)$  = the set of all integers with no prime factor larger than  $y$ .
- For “bump function” this sum only detects solutions with  $\max(|X|, |Y|, |Z|) \leq x$ .

## Technical Main Theorem: Weighted Sums-4

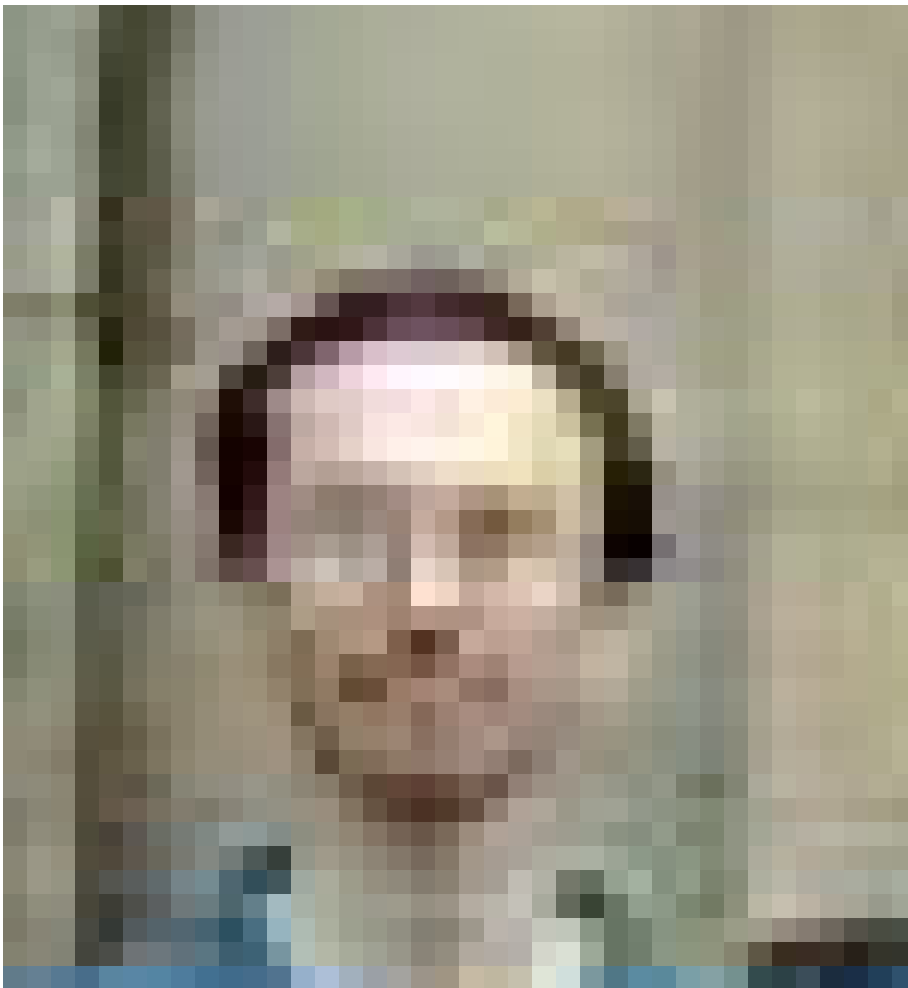
- The Hildebrand-Tenenbaum saddle-point method evaluates a certain contour integral by integrating on a saddle point line  $\operatorname{Re}(s) = c$ , which lies in critical strip.
- Given range  $x$  and smoothness bound  $y$ , the associated saddle point value  $c$  is the (unique) positive solution to the equation

$$\sum_{p \leq y} \frac{\log p}{p^c - 1} = \log x.$$

- If  $y = (\log x)^{\alpha}$ , with  $\alpha > 3$ , then the saddle point value is

$$c = 1 - \frac{1}{\alpha} + O\left(\frac{\log \log \log x}{\log \log x}\right).$$

# Adolf J. Hildebrand



(An Elusive Sighting...)

## Gérald Tenenbaum



# Technical Main Theorem: Statement

- **Theorem.** (Counting Weighted Solutions)

For all  $(x, y)$  with  $y = (\log x)^\alpha$  with

$$8 + \epsilon \leq \alpha \leq 8 + \frac{1}{\epsilon},$$

( $\epsilon > 0$  fixed) the weighted sum  $N_\Phi(x, y)$  counting all solutions (including imprimitive ones) is given by **main term**:

$$\mathfrak{S}_f\left(1 - \frac{1}{\alpha}\right) \mathfrak{S}_\infty(\Phi, 1 - \frac{1}{\alpha}) \frac{\Psi(x, y)^3}{x}$$

which contains two **singular series**,  
and by **remainder term**  $R(x, y)$ :

$$R(x, y) = O\left(\frac{\Psi(x, y)^3}{x} \cdot \frac{1}{(\log \log x)^{1-\epsilon}}\right)$$

# Technical Main Theorem: Singular Series

- Finite Place Singular Series  $\mathfrak{S}(c) :=$

$$\prod_p \left( 1 + \frac{p-1}{p(p^{3c-1}-1)} \left( \frac{p-p^c}{p-1} \right)^3 \right)$$

(converges for  $\operatorname{Re}(c) > \frac{2}{3}$ , diverges at  $c = \frac{2}{3}$ .)

- Archimedean Singular Series  $\mathfrak{S}(\Phi, c) :=$

$$c^3 \int \int \Phi(t_1) \Phi(t_2) \Phi(t_1+t_2) (t_1 t_2 (t_1+t_2))^{c-1} dt_1 dt_2.$$

(Converges for  $\operatorname{Re}(c) > \frac{2}{3}$ , diverges at  $c = \frac{2}{3}$ , for step function  $\Phi = \chi_{[0,1]}(t)$ )

- Singular series are **positive** for real  $c > \frac{2}{3}$ ,  
i.e. for  $\alpha > 3$ !

# Inclusion-Exclusion Theorem-1

- **Theorem. (Counting Primitive Solutions)**  
Let the weighted sum  $N_{\Phi}^*(x, y)$  count **primitive solutions** only. For all  $(x, y)$  with  $y = (\log x)^{\alpha}$  satisfying

$$8 + \epsilon < \alpha < 8 + \frac{1}{\epsilon},$$

( $\epsilon$  fixed) the weighted sum  $N_{\Phi}^*(x, y)$  is given by the **main term**

$$\frac{1}{\zeta(2 - \frac{3}{\alpha})} \mathfrak{S}_f(1 - \frac{1}{\alpha}) \mathfrak{S}_{\infty}(\Phi, 1 - \frac{1}{\alpha}) \frac{\Psi(x, y)^3}{x}$$

in which the **remainder term**  $R(x, y)$  satisfies

$$R(x, y) = O\left(\frac{\Psi(x, y)^3}{x} \cdot \frac{1}{(\log \log x)^{1-\epsilon}}\right)$$



## Technical Main Theorem 2: Inclusion-Exclusion-2

- The inclusion-exclusion factor

$$\frac{1}{\zeta(2 - \frac{3}{\alpha})}$$

is positive for  $\alpha > 3$ . However it is 0 at  $\alpha = 3$ .

- Interpretation.

For  $\alpha > 3$  a positive fraction of all integer solutions are primitive integer solutions.

But for  $0 < \alpha < 3$  a zero fraction of all integer solutions are primitive solutions.

- Heuristic: Expect infinitely many primitive solutions only for  $\alpha > \frac{3}{2}$ .

# Hardy-Littlewood Method-1

- Weighted Counting Function Identity

$$N(x, y; \Phi) = \int_0^1 E(x, y, \beta)^2 E(x, y; -\beta) d\beta,$$

where integrand is ...

- Weighted Exponential sum.

$$E(x, y; \beta) := \sum_{n \in \mathcal{S}(y)} e(n\beta) \Phi\left(\frac{n}{x}\right)$$

- Here

$$e(\beta) = \exp(2\pi i \beta).$$

# Hardy-Littlewood Method-2

- Estimate the integral

$$N(x, y; \Phi) = \int_0^1 E(x, y, \beta)^2 E(x, y; -\beta) d\beta,$$

- **Idea** (a) Cut the integration interval  $[0, 1]$  up into **subintervals**  $I(\frac{a}{q})$ , indexed by rational numbers  $\frac{a}{q}$  having small denominators:  $q < \sqrt{x}$ .
- (b) The integrand is large in small neighborhoods of  $\frac{a}{q}$  with small denominators,  $q \leq x^{1/4}$ , these are the **major arcs**  $J(\frac{a}{q})$ . Estimate their size exactly, get the **main term** of asymptotics.
- (c) Show the integrand is “small” everywhere else, the **minor arcs**, get the **remainder term**.

# Hardy-Littlewood Method-3

- **Cutoff Exponent  $\delta$ .** This is an adjustable parameter.  $0 < \delta < 1/4$ , fixed in advance.

- **Major Arcs.**  $1 \leq q \leq x^{1/4}$ , take

$$J\left(\frac{a}{q}\right) := \left\{ \beta : \left| \beta - \frac{a}{q} \right| \leq \frac{1}{x^{1-\delta}} \right\}$$

(As  $\delta$  gets smaller, these intervals get smaller. But they still give **main term**!)

- **Minor Arcs.** Everything else. For denominators  $x^{1/4} \leq q \leq x^{1/2}$  it is the whole Farey interval  $I\left(\frac{a}{q}\right)$ .

For denominators  $1 \leq q \leq x^{\frac{1}{4}}$  it is part of Farey interval not covered by  $J\left(\frac{a}{q}\right)$ , which generally consists of two pieces.

# Multiplicative Character Decomposition-1

- Weighted Exponential sum Consider:

$$E(x, y; \beta) := \sum_{n \in \mathcal{S}(y)} e(n\beta) \Phi\left(\frac{n}{x}\right)$$

(This involves the additive character  $f(n) := e(n\beta)$ )

- Idea: Expand in “basis” of multiplicative characters. These include:
  - (a) Dirichlet characters  $\chi(n) \pmod{q}$  for general integer modulus  $q$ , may be imprimitive or primitive character.
  - (b) continuous characters  $\psi_t(n) := n^{it}$ .
- This is overdetermined set, extract suitable subset, depending on parameters  $(x, y)$ .

## Multiplicative Character Decomposition-2

- **Dirichlet Character Decomposition.** For  $\beta = \frac{a}{b}$ , given  $n$  set  $d := (n, q)$ . Then

$$e(n\beta) = \frac{1}{\phi(\frac{q}{d})} \sum_{\chi \pmod{\frac{q}{d}}} \tau(\bar{\chi}) \chi\left(\frac{na}{d}\right)$$

where  $\tau(\chi)$  is a Gauss sum.

- This decomposition **preserves  $L^2$ -norm:**

$$\sum_{\chi \pmod{\frac{q}{d}}} \frac{|\tau(\chi)|^2}{\phi(\frac{q}{d})^2} = 1.$$

# Multiplicative Character Decomposition-3

- **Transformed Weight Function** Given weight function  $\Phi(x)$ , form the Laplace-Mellin transform

$$\hat{\Phi}(s, \lambda) := \int_0^\infty \Phi(w) e(\lambda w) w^{s-1} dw$$

- **Size Lemma 1.** This function  $\hat{\Phi}(s, \lambda)$  is “small” except when  $|s| \approx |\lambda|$
- **Size Lemma 2.** This function satisfies the  $L^1$ -smallness bound

$$\int_{-\infty}^{\infty} |\hat{\Phi}(c + it, \lambda)| dt \ll (1 + |\lambda|)^{1/2+\epsilon}.$$

## Multiplicative Character Decomposition-4

- Continuous Character Decomposition.

The function  $f(n) = e(n\beta)$

$$e(n\beta)\Phi\left(\frac{n}{x}\right) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} \hat{\Phi}(s, \beta x) \left(\frac{x}{n}\right)^s ds.$$

- This decomposition preserves  $L^2$ -norm:

$$\frac{1}{2\pi} \int_{-\infty}^{\infty} |\hat{\Phi}(c+it, \beta x)|^2 dt = \int_{-\infty}^{\infty} |\Phi(e^u) e(\beta x e^u) e^{cu}|^2 du.$$



# Exponential Sums with Dirichlet Characters

- **Reduction of Problem.** For  $\beta = \frac{a}{q} + \gamma$ , can express exponential sum  $E(x, y; \beta)$  as a combination of generalized exponential sums  $E(x, y; \chi, \gamma)$  over Dirichlet characters (mod  $q$ ). Here...
- **Generalized Weighted Exponential sum**  
For  $\chi(n)$  a Dirichlet character (mod  $q$ ),

$$E(x, y; \chi, \gamma) := \sum_{n \in \mathcal{S}(y)} e(n\gamma) \chi(n) \Phi\left(\frac{n}{x}\right)$$

## Partial Euler Product

- Now use Dirichlet series for smooth numbers...

- Partial Euler Product

$$\zeta(s; y) := \prod_{p \leq y} \left(1 - \frac{1}{p^s}\right)^{-1} = \sum_{n \in \mathcal{S}(y)} n^{-s}.$$

- Partial Euler Product with Dirichlet Character

$$L(s; \chi, y) := \prod_{p \leq y} \left(1 - \frac{\chi(p)}{p^s}\right)^{-1} = \sum_{n \in \mathcal{S}(y)} \chi(n) n^{-s}.$$

## Relation to (Partial) $L$ -Functions

- To estimate  $E(x, y; \chi, \gamma)$  use...

- Inverse Mellin Integral Formula

$$E(x, y; \chi, \gamma) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} L(s; \chi, y) \hat{\Phi}(s, \gamma x) x^s ds.$$

- **Idea.**  $L(s; \chi, y)$  behaves somewhat like  $L(s; \chi)$ , part way into the critical strip. Actually compare  $\log L(s; \chi, y)$  and  $\log L(s, \chi)$ . Use the *GRH* to control the error, shift contour to the line  $\operatorname{Re}(s) = 1/2 + \epsilon$ .

# Minor Arcs Estimate

- **Theorem. (Minor Arcs Estimate)** Assume *GRH*. Then:

(1) For **non-principal Dirichlet character**  $\chi(\bmod q)$ , and for  $|\gamma|x < x^{1/2}$ ,

$$|E(x, y : \chi, \gamma)| \ll (1 + |\gamma|x)^{1/2+1/2\epsilon} x^{1/2+1/2\epsilon}$$

(2) For **principal character**  $\chi(\bmod q)$ , and  $y < x^\delta$ , and  $x^\delta \leq |\gamma|x < x^{1/2}$ , the same estimate holds.

- (1) applies to major arcs as well. So get:

**Corollary.** Only the **principal characters**  $(\bmod q)$  for “small”  $q$  make large contribution to the **major arcs**!

## Minor Arcs Estimate-Comments

- Estimate achieves a power savings in  $x$ .
- Main loss from converting  $L^2$ -estimate to  $L_1$ -estimate.
- The minor arcs method is crucial to the method.

# Minor Arcs Estimate-Proof

- Inverse Mellin Transform Formula

$$E(x, y : \chi, \gamma) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} L(s; \chi, y) \hat{\Phi}(s, \gamma x) x^s ds.$$

- **Idea**. Goal is to upper bound absolute value of integral. The quantity  $|\hat{\Phi}(s, \gamma x)|$  is large only in narrow region, must control size of  $|L(s; \chi, y)|$  there. Relate it to  $L$ -function  $L(s; \chi)$ .
- Control of estimates in **q-aspect** (Dirichlet characters) and the **T-aspect** (continuous characters) used.

# Major Arcs Formula

- **Only Principal Characters Matter.** Main term formulas involve only integrals against partial Euler product  $L(s; \chi_{0,q}, y)$  + a small error term. This simplifies the expression for the major arcs.
- The formulas for main term are obtained by **Hilbrand-Tenenbaum** saddle-point method contour integral shift.
- The *GRH* is invoked (again) to show the simplified “**main term**” inside the major arcs sums is dominant contribution.
- **Singular Series formulas.** These arise naturally from the sum of main terms over  $q$ , the Farey fractions. The archimedean integral decouples from the finite primes.

### 3. Final Remarks

- Linear Diophantine Equations.

This  $GL(1)$  method works because have first degree equations.

- This approach doesn't work for higher degree equations, where the circle method was originally applied (Waring's problem).



## Extensions of the Method

- One can expect variants of this method to apply to:
- (a) **Nontrivial coefficients** in the  $ABC$ -equation

$$aA + bB + cC = 0.$$

- (b) **Fixed side congruence conditions** to be imposed on  $A, B, C$ .
- (c) **Systems** of several linear homogeneous Diophantine equations.

# Unconditional Upper Bound?

- It might be possible to remove GRH assumption.
- **Main Obstacle.** Need Minor Arc estimates with some power of  $x$  savings.
- Cannot shift contour near critical line. Hope to use **zero density results** to show “most”  $L$ -functions in the sums contribute a small amount. (Contours shifted by zero locations.)
- If method works, expect to get a (much) worse upper bound on  $\alpha_0$ .

## Unconditional Lower Bound?

- No ideas!

The Last Slide...

Thank you for your attention!