

SPT clusters with DES and HST weak lensing. II. Cosmological constraints from the abundance of massive halos

S. Bocquet^{1,*}, S. Grandis^{2,1}, L. E. Bleem^{3,4}, M. Klein⁵, J. J. Mohr^{6,1,5}, T. Schrabback^{6,2,6}, T. M. C. Abbott,⁷ P. A. R. Ade,⁸ M. Aguena,⁹ A. Alarcon,³ S. Allam,¹⁰ S. W. Allen,^{11,12,13} O. Alves,¹⁴ A. Amon,^{15,16} A. J. Anderson,¹⁰ J. Annis,¹⁰ B. Ansarinejad,¹⁷ J. E. Austermann,^{18,19} S. Avila,²⁰ D. Bacon,²¹ M. Bayliss,²² J. A. Beall,¹⁸ K. Bechtol,²³ M. R. Becker,³ A. N. Bender,^{3,4,24} B. A. Benson,^{24,4,10} G. M. Bernstein,²⁵ S. Bhargava,²⁶ F. Bianchini,^{11,12,13} M. Brodwin,²⁷ D. Brooks,²⁸ L. Bryant,²⁹ A. Campos,³⁰ R. E. A. Canning,³¹ J. E. Carlstrom,^{24,4,32,3,29} A. Carnero Rosell,^{33,9,34} M. Carrasco Kind,^{35,36} J. Carretero,²⁰ F. J. Castander,^{37,38} R. Cawthon,³⁹ C. L. Chang,^{4,3,24} C. Chang,^{24,4} P. Chaubal,¹⁷ R. Chen,⁴⁰ H. C. Chiang,^{41,42} A. Choi,⁴³ T-L. Chou,^{4,32} R. Citron,⁴⁴ C. Corbett Moran,⁴⁵ J. Cordero,⁴⁶ M. Costanzi,^{47,48,49} T. M. Crawford,^{4,24} A. T. Crites,⁵⁰ L. N. da Costa,⁹ M. E. S. Pereira,⁵¹ C. Davis,¹¹ T. M. Davis,⁵² J. DeRose,⁵³ S. Desai,⁵⁴ T. de Haan,^{55,56} H. T. Diehl,¹⁰ M. A. Dobbs,^{41,57} S. Dodelson,^{30,58} C. Doux,^{25,59} A. Drlica-Wagner,^{24,10,4} K. Eckert,²⁵ J. Elvin-Poole,⁶⁰ S. Everett,⁶¹ W. Everett,⁶² I. Ferrero,⁶³ A. Ferté,¹³ A. M. Flores,^{12,11} J. Frieman,^{10,4} J. Gallicchio,^{4,64} J. García-Bellido,⁶⁵ M. Gatti,²⁵ E. M. George,⁶⁶ G. Giannini,^{20,4} M. D. Gladders,^{24,4} D. Gruen,¹ R. A. Gruendl,^{35,36} N. Gupta,⁶⁷ G. Gutierrez,¹⁰ N. W. Halverson,^{62,19} I. Harrison,⁶⁸ W. G. Hartley,⁶⁹ K. Herner,¹⁰ S. R. Hinton,⁵² G. P. Holder,^{36,70,57} D. L. Hollowood,⁷¹ W. L. Holzappel,⁷² K. Honscheid,^{73,74} J. D. Hrubes,⁴⁴ N. Huang,⁷² J. Hubmayr,¹⁸ E. M. Huff,⁶¹ D. Huterer,¹⁴ K. D. Irwin,^{13,12} D. J. James,⁷⁵ M. Jarvis,²⁵ G. Khullar,^{4,24} K. Kim,²² L. Knox,⁷⁶ R. Kraft,⁷⁵ E. Krause,⁷⁷ K. Kuehn,^{78,79} N. Kuropatkin,¹⁰ F. Kéruzoré,³ O. Lahav,²⁸ A. T. Lee,^{72,80} P.-F. Leget,⁸¹ D. Li,^{18,13} H. Lin,¹⁰ A. Lowitz,²⁴ N. MacCrann,⁸² G. Mahler,^{83,84} A. Mantz,^{11,12} J. L. Marshall,⁸⁵ J. McCullough,⁸¹ M. McDonald,⁸⁶ J. J. McMahon,^{4,32,24} J. Mena-Fernández,⁸⁷ F. Menanteau,^{35,36} S. S. Meyer,^{4,32,24,29} R. Miquel,^{88,20} J. Montgomery,⁴¹ J. Myles,⁸⁹ T. Natoli,^{24,4} A. Navarro-Alsina,⁹⁰ J. P. Nibarger,¹⁸ G. I. Noble,⁹¹ V. Novosad,⁹² R. L. C. Ogando,⁹³ Y. Omori,⁴ S. Padin,⁹⁴ S. Pandey,²⁵ P. Paschos,²⁹ S. Patil,¹⁷ A. Pieres,^{9,93} A. A. Plazas Malagón,^{81,13} A. Porredon,⁹⁵ J. Prat,^{24,4} C. Pryke,⁹⁶ M. Raveri,⁹⁷ C. L. Reichardt,¹⁷ J. Roberson,²² R. P. Rollins,⁴⁶ C. Romero,⁷⁵ A. Roodman,^{81,13} J. E. Ruhl,⁹⁸ E. S. Rykoff,^{81,13} B. R. Saliwanchik,⁹⁹ L. Salvati,^{100,101,102} C. Sánchez,²⁵ E. Sanchez,¹⁰³ D. Sanchez Cid,¹⁰³ A. Saro,^{104,102,101,105,106} K. K. Schaffer,^{4,29,107} L. F. Secco,⁴ I. Sevilla-Noarbe,¹⁰³ K. Sharon,¹⁰⁸ E. Sheldon,¹⁰⁹ T. Shin,¹¹⁰ C. Sievers,⁴⁴ G. Smecher,^{41,111} M. Smith,¹¹² T. Somboonpanyakul,¹¹³ M. Sommer,⁶ B. Stalder,⁷⁵ A. A. Stark,⁷⁵ J. Stephen,²⁹ V. Strazzullo,^{101,102} E. Suchyta,¹¹⁴ G. Tarle,¹⁴ C. To,⁷³ M. A. Troxel,⁴⁰ C. Tucker,⁸ I. Tutusaus,¹¹⁵ T. N. Varga,^{116,5,117} T. Veach,¹¹⁸ J. D. Vieira,^{36,70} A. Vikhlinin,⁷⁵ A. von der Linden,¹¹⁰ G. Wang,³ N. Weaverdyck,^{14,53} J. Weller,^{5,117} N. Whitehorn,¹¹⁹ W. L. K. Wu,¹³ B. Yanny,¹⁰ V. Yefremenko,³ B. Yin,³⁰ M. Young,⁹¹ J. A. Zebrowski,^{4,24,10} Y. Zhang,⁷ H. Zohren,⁶ and J. Zuntz¹²⁰

(SPT and DES Collaborations)

¹University Observatory, Faculty of Physics, Ludwig-Maximilians-Universität, Scheinerstraße 1, 81679 Munich, Germany

²Universität Innsbruck, Institut für Astro- und Teilchenphysik, Technikerstraße 25/8, 6020 Innsbruck, Austria

³High-Energy Physics Division, Argonne National Laboratory, 9700 South Cass Avenue, Lemont, Illinois 60439, USA

⁴Kavli Institute for Cosmological Physics, University of Chicago, 5640 South Ellis Avenue, Chicago, Illinois 60637, USA

⁵Max Planck Institute for Extraterrestrial Physics, Gießenbachstraße 1, 85748 Garching, Germany

⁶Argelander-Institut für Astronomie, Auf dem Hügel 71, 53121 Bonn, Germany

⁷Cerro Tololo Inter-American Observatory, NSF's National Optical-Infrared Astronomy Research Laboratory, Casilla 603, La Serena, Chile

⁸School of Physics and Astronomy, Cardiff University, Cardiff CF24 3YB, United Kingdom

⁹Laboratório Interinstitucional de e-Astronomia—LIneA,

Rua Gal. José Cristino 77, Rio de Janeiro, Rio de Janeiro—20921-400, Brazil

¹⁰Fermi National Accelerator Laboratory, P. O. Box 500, Batavia, Illinois 60510, USA

¹¹Kavli Institute for Particle Astrophysics and Cosmology, Stanford University, 452 Lomita Mall, Stanford, California 94305, USA

¹²Department of Physics, Stanford University, 382 Via Pueblo Mall, Stanford, California 94305, USA

¹³SLAC National Accelerator Laboratory, 2575 Sand Hill Road, Menlo Park, California 94025, USA

¹⁴Department of Physics, University of Michigan, Ann Arbor, Michigan 48109, USA

- ¹⁵*Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA, United Kingdom*
- ¹⁶*Kavli Institute for Cosmology, University of Cambridge, Madingley Road, Cambridge CB3 0HA, United Kingdom*
- ¹⁷*School of Physics, University of Melbourne, Parkville, Victoria 3010, Australia*
- ¹⁸*NIST Quantum Devices Group, 325 Broadway Mailcode 817.03, Boulder, Colorado 80305, USA*
- ¹⁹*Department of Physics, University of Colorado, Boulder, Colorado 80309, USA*
- ²⁰*Institut de Física d'Altes Energies (IFAE), The Barcelona Institute of Science and Technology, Campus UAB, 08193 Bellaterra (Barcelona), Spain*
- ²¹*Institute of Cosmology and Gravitation, University of Portsmouth, Portsmouth PO1 3FX, United Kingdom*
- ²²*Department of Physics, University of Cincinnati, Cincinnati, Ohio 45221, USA*
- ²³*Physics Department, 2320 Chamberlin Hall, University of Wisconsin-Madison, 1150 University Avenue Madison, Wisconsin 53706-1390, USA*
- ²⁴*Department of Astronomy and Astrophysics, University of Chicago, 5640 South Ellis Avenue, Chicago, Illinois 60637, USA*
- ²⁵*Department of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA*
- ²⁶*Department of Physics and Astronomy, Pevensey Building, University of Sussex, Brighton BN1 9QH, United Kingdom*
- ²⁷*Department of Physics and Astronomy, University of Missouri, 5110 Rockhill Road, Kansas City, Missouri 64110, USA*
- ²⁸*Department of Physics & Astronomy, University College London, Gower Street, London WC1E 6BT, United Kingdom*
- ²⁹*Enrico Fermi Institute, University of Chicago, 5640 South Ellis Avenue, Chicago, Illinois 60637, USA*
- ³⁰*Department of Physics, Carnegie Mellon University, Pittsburgh, Pennsylvania 15312, USA*
- ³¹*Institute of Cosmology & Gravitation, University of Portsmouth, Dennis Sciama Building, Portsmouth PO1 3FX, United Kingdom*
- ³²*Department of Physics, University of Chicago, 5640 South Ellis Avenue, Chicago, Illinois 60637, USA*
- ³³*Instituto de Astrofísica de Canarias, E-38205 La Laguna, Tenerife, Spain*
- ³⁴*Universidad de La Laguna, Dpto. Astrofísica, E-38206 La Laguna, Tenerife, Spain*
- ³⁵*Center for Astrophysical Surveys, National Center for Supercomputing Applications, 1205 West Clark Street, Urbana, Illinois 61801, USA*
- ³⁶*Department of Astronomy, University of Illinois Urbana-Champaign, 1002 West Green Street, Urbana, Illinois 61801, USA*
- ³⁷*Institut d'Estudis Espacials de Catalunya (IEEC), 08034 Barcelona, Spain*
- ³⁸*Institute of Space Sciences (ICE, CSIC), Campus UAB, Carrer de Can Magrans, s/n, 08193 Barcelona, Spain*
- ³⁹*Physics Department, William Jewell College, Liberty, Missouri 64068, USA*
- ⁴⁰*Department of Physics, Duke University Durham, North Carolina 27708, USA*
- ⁴¹*Department of Physics and McGill Space Institute, McGill University, 3600 Rue University, Montreal, Quebec H3A 2T8, Canada*
- ⁴²*School of Mathematics, Statistics & Computer Science, University of KwaZulu-Natal, Durban, South Africa*
- ⁴³*NASA Goddard Space Flight Center, 8800 Greenbelt Road, Greenbelt, Maryland 20771, USA*
- ⁴⁴*University of Chicago, 5640 South Ellis Avenue, Chicago, Illinois 60637, USA*
- ⁴⁵*Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California 91011, USA*
- ⁴⁶*Jodrell Bank Center for Astrophysics, School of Physics and Astronomy, University of Manchester, Oxford Road, Manchester M13 9PL, United Kingdom*
- ⁴⁷*Astronomy Unit, Department of Physics, University of Trieste, via Tiepolo 11, I-34131 Trieste, Italy*
- ⁴⁸*INAF-Osservatorio Astronomico di Trieste, via G. B. Tiepolo 11, I-34143 Trieste, Italy*
- ⁴⁹*Institute for Fundamental Physics of the Universe, Via Beirut 2, 34014 Trieste, Italy*
- ⁵⁰*Cornell University, Ithaca, New York 14853, USA*
- ⁵¹*Hamburger Sternwarte, Universität Hamburg, Gojenbergsweg 112, 21029 Hamburg, Germany*
- ⁵²*School of Mathematics and Physics, University of Queensland, Brisbane, Queensland 4072, Australia*
- ⁵³*Lawrence Berkeley National Laboratory, 1 Cyclotron Road, Berkeley, California 94720, USA*
- ⁵⁴*Department of Physics, IIT Hyderabad, Kandi, Telangana 502285, India*
- ⁵⁵*Institute of Particle and Nuclear Studies (IPNS), High Energy Accelerator Research Organization (KEK), Tsukuba, Ibaraki 305-0801, Japan*

- ⁵⁶*International Center for Quantum-field Measurement Systems for Studies of the Universe and Particles (QUP), High Energy Accelerator Research Organization (KEK), Tsukuba, Ibaraki 305-0801, Japan*
- ⁵⁷*Canadian Institute for Advanced Research, CIFAR Program in Gravity and the Extreme Universe, Toronto, Ontario M5G 1Z8, Canada*
- ⁵⁸*NSF AI Planning Institute for Physics of the Future, Carnegie Mellon University, Pittsburgh, Pennsylvania 15213, USA*
- ⁵⁹*Université Grenoble Alpes, CNRS, LPSC-IN2P3, 38000 Grenoble, France*
- ⁶⁰*Department of Physics and Astronomy, University of Waterloo, 200 University Ave W, Waterloo, Ontario N2L 3G1, Canada*
- ⁶¹*Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, California 91109, USA*
- ⁶²*Department of Astrophysical and Planetary Sciences, University of Colorado, Boulder, Colorado 80309, USA*
- ⁶³*Institute of Theoretical Astrophysics, University of Oslo. P.O. Box 1029 Blindern, NO-0315 Oslo, Norway*
- ⁶⁴*Harvey Mudd College, 301 Platt Boulevard, Claremont, California 91711, USA*
- ⁶⁵*Instituto de Física Teórica UAM/CSIC, Universidad Autónoma de Madrid, 28049 Madrid, Spain*
- ⁶⁶*European Southern Observatory, Karl-Schwarzschild-Straße, DE-85748 Garching b. München, Germany*
- ⁶⁷*CSIRO Space and Astronomy, P.O. Box 1130, Bentley Western Australia 6102, Australia*
- ⁶⁸*School of Physics and Astronomy, Cardiff University, Cardiff CF24 3AA, United Kingdom*
- ⁶⁹*Department of Astronomy, University of Geneva, ch. d'Écogia 16, CH-1290 Versoix, Switzerland*
- ⁷⁰*Department of Physics, University of Illinois Urbana-Champaign, 1110 West Green Street, Urbana, Illinois 61801, USA*
- ⁷¹*Santa Cruz Institute for Particle Physics, Santa Cruz, California 95064, USA*
- ⁷²*Department of Physics, University of California, Berkeley, California 94720, USA*
- ⁷³*Center for Cosmology and Astro-Particle Physics, The Ohio State University, Columbus, Ohio 43210, USA*
- ⁷⁴*Department of Physics, The Ohio State University, Columbus, Ohio 43210, USA*
- ⁷⁵*Center for Astrophysics | Harvard & Smithsonian, 60 Garden Street, Cambridge, Massachusetts 02138, USA*
- ⁷⁶*Department of Physics, University of California, One Shields Avenue, Davis, California 95616, USA*
- ⁷⁷*Department of Astronomy/Steward Observatory, University of Arizona, 933 North Cherry Avenue, Tucson, Arizona 85721-0065, USA*
- ⁷⁸*Australian Astronomical Optics, Macquarie University, North Ryde, New South Wales 2113, Australia*
- ⁷⁹*Lowell Observatory, 1400 Mars Hill Road, Flagstaff, Arizona 86001, USA*
- ⁸⁰*Physics Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*
- ⁸¹*Kavli Institute for Particle Astrophysics and Cosmology, P.O. Box 2450, Stanford University, Stanford, California 94305, USA*
- ⁸²*Department of Applied Mathematics and Theoretical Physics, University of Cambridge, Cambridge CB3 0WA, United Kingdom*
- ⁸³*Centre for Extragalactic Astronomy, Durham University, South Road, Durham DH1 3LE, United Kingdom*
- ⁸⁴*Institute for Computational Cosmology, Durham University, South Road, Durham DH1 3LE, United Kingdom*
- ⁸⁵*George P. and Cynthia Woods Mitchell Institute for Fundamental Physics and Astronomy, and Department of Physics and Astronomy, Texas A&M University, College Station, Texas 77843, USA*
- ⁸⁶*Kavli Institute for Astrophysics and Space Research, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, Massachusetts 02139, USA*
- ⁸⁷*LPSC Grenoble—53, Avenue des Martyrs 38026 Grenoble, France*
- ⁸⁸*Institució Catalana de Recerca i Estudis Avançats, E-08010 Barcelona, Spain*
- ⁸⁹*Department of Astrophysical Sciences, Princeton University, Peyton Hall, Princeton, New Jersey 08544, USA*
- ⁹⁰*Instituto de Física Gleb Wataghin, Universidade Estadual de Campinas, 13083-859, Campinas, São Paulo, Brazil*
- ⁹¹*David A. Dunlap Department of Astronomy and Astrophysics, University of Toronto, 50 St. George Street, Toronto, Ontario M5S 3H4, Canada*
- ⁹²*Materials Sciences Division, Argonne National Laboratory, 9700 South Cass Avenue, Lemont, Illinois 60439, USA*
- ⁹³*Observatório Nacional, Rua Gal. José Cristino 77, Rio de Janeiro, Rio de Janeiro—20921-400, Brazil*
- ⁹⁴*California Institute of Technology, 1200 East California Boulevard, Pasadena, California 91125, USA*
- ⁹⁵*Ruhr University Bochum, Faculty of Physics and Astronomy, Astronomical Institute, German Centre for Cosmological Lensing, 44780 Bochum, Germany*

- ⁹⁶*School of Physics and Astronomy, University of Minnesota,
116 Church Street SE, Minneapolis, Minnesota 55455, USA*
- ⁹⁷*Department of Physics, University of Genova and INFN, Via Dodecaneso 33, 16146, Genova, Italy*
- ⁹⁸*Department of Physics, Case Western Reserve University, Cleveland, Ohio 44106, USA*
- ⁹⁹*Brookhaven National Laboratory, Upton, New York 11973, USA*
- ¹⁰⁰*Université Paris-Saclay, CNRS, Institut d'Astrophysique Spatiale, 91405, Orsay, France*
- ¹⁰¹*INAF—Osservatorio Astronomico di Trieste, via G. B. Tiepolo 11, 34143 Trieste, Italy*
- ¹⁰²*IFPU—Institute for Fundamental Physics of the Universe, Via Beirut 2, 34014 Trieste, Italy*
- ¹⁰³*Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain*
- ¹⁰⁴*Astronomy Unit, Department of Physics, University of Trieste, via Tiepolo 11, 34131 Trieste, Italy*
- ¹⁰⁵*INFN—National Institute for Nuclear Physics, Via Valerio 2, I-34127 Trieste, Italy*
- ¹⁰⁶*ICSC—Italian Research Center on High Performance Computing,
Big Data and Quantum Computing, Italy*
- ¹⁰⁷*Liberal Arts Department, School of the Art Institute of Chicago,
112 South Michigan Avenue, Chicago, Illinois 60603, USA*
- ¹⁰⁸*Department of Astronomy, University of Michigan,
1085 S. University Ave, Ann Arbor, Michigan 48109, USA*
- ¹⁰⁹*Brookhaven National Laboratory, Building 510, Upton, New York 11973, USA*
- ¹¹⁰*Department of Physics and Astronomy, Stony Brook University, Stony Brook, New York 11794, USA*
- ¹¹¹*Three-Speed Logic, Inc., Victoria, British Columbia V8S 3Z5, Canada*
- ¹¹²*School of Physics and Astronomy, University of Southampton, Southampton SO17 1BJ, United Kingdom*
- ¹¹³*Department of Physics, Faculty of Science, Chulalongkorn University,
254 Phayathai Road, Pathumwan, Bangkok 10330, Thailand*
- ¹¹⁴*Computer Science and Mathematics Division, Oak Ridge National Laboratory,
Oak Ridge, Tennessee 37831, USA*
- ¹¹⁵*Institut de Recherche en Astrophysique et Planétologie (IRAP), Université de Toulouse,
CNRS, UPS, CNES, 14 Avenue Edouard Belin, 31400 Toulouse, France*
- ¹¹⁶*Excellence Cluster Origins, Boltzmannstraße 2, 85748 Garching, Germany*
- ¹¹⁷*Universitäts-Sternwarte, Fakultät für Physik, Ludwig-Maximilians Universität München,
Scheinerstraße 1, 81679 Munich, Germany*
- ¹¹⁸*Space Science and Engineering Division, Southwest Research Institute, San Antonio, Texas 78238, USA*
- ¹¹⁹*Department of Physics and Astronomy, Michigan State University, East Lansing, Michigan 48824, USA*
- ¹²⁰*Institute for Astronomy, University of Edinburgh, Edinburgh EH9 3HJ, United Kingdom*



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We present cosmological constraints from the abundance of galaxy clusters selected via the thermal Sunyaev-Zel'dovich (SZ) effect in South Pole Telescope (SPT) data with a simultaneous mass calibration using weak gravitational lensing data from the Dark Energy Survey (DES) and the *Hubble Space Telescope* (HST). The cluster sample is constructed from the combined SPT-SZ, SPTpol ECS, and SPTpol 500d surveys, and comprises 1,005 confirmed clusters in the redshift range 0.25–1.78 over a total sky area of 5200 deg². We use DES Year 3 weak-lensing data for 688 clusters with redshifts $z < 0.95$ and HST weak-lensing data for 39 clusters with $0.6 < z < 1.7$. The weak-lensing measurements enable robust mass measurements of sample clusters and allow us to empirically constrain the SZ observable-mass relation without having to make strong assumptions about, e.g., the hydrodynamical state of the clusters. For a flat Λ CDM cosmology, and marginalizing over the sum of massive neutrinos, we measure $\Omega_m = 0.286 \pm 0.032$, $\sigma_8 = 0.817 \pm 0.026$, and the parameter combination $\sigma_8(\Omega_m/0.3)^{0.25} = 0.805 \pm 0.016$. Our measurement of $S_8 \equiv \sigma_8 \sqrt{\Omega_m/0.3} = 0.795 \pm 0.029$ and the constraint from *Planck* CMB anisotropies (2018 TT, TE, EE + lowE) differ by 1.1σ . In combination with that *Planck* dataset, we place a 95% upper limit on the sum of neutrino masses $\sum m_\nu < 0.18$ eV. When additionally allowing the dark energy equation of state parameter w to vary, we obtain $w = -1.45 \pm 0.31$ from our cluster-based analysis. In combination with *Planck* data, we measure $w = -1.34^{+0.22}_{-0.15}$, or a 2.2σ difference with a cosmological constant. We use the cluster abundance to measure σ_8 in five redshift bins between 0.25 and 1.8, and we find the results to be consistent with structure growth as predicted by the Λ CDM model fit to *Planck* primary CMB data.

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*sebastian.bocquet@physik.lmu.de

I. INTRODUCTION

Understanding the cause for the accelerated expansion of our Universe is currently one of the biggest challenges in physics. To address this robustly, a multitude of different probes and techniques have been proposed and are being used to observationally constrain the cosmological parameters. Interestingly, the comparison of results obtained from these probes have recently uncovered two anomalies. First, the present-day value of the Hubble parameter determined from primary anisotropies of the cosmic microwave background (CMB) at high redshift is in at least 4σ tension with measurements in the local universe using the distance ladder e.g., [1–4]. Second, measurements of the S_8 -parameter¹ by low-redshift probes have tended to be lower than predicted by the Λ CDM model with parameters set by high-redshift CMB data, e.g., [5–13].

Over recent years, new large-scale structure probes such as joint analyses of galaxy clustering and weak-lensing 2-point correlation functions (so-called 3×2 pt analyses) [8,9,14], the CMB lensing power spectrum [15–17], or the combination of all of these [18] have emerged. Measurements of the abundance of massive dark matter halos (and the galaxy clusters they host) probe the matter density field through a different mechanism and are subject to different systematics, thus offering an ideal and powerful complement [19,20]. However, for a study of halo abundance to be successful, the relationship between the underlying, unobservable halo mass and the observable properties of the clusters needs to be known (see e.g., reviews [21,22]).

In this work, we present cosmological constraints derived from a sample of 1005 galaxy clusters detected using the South Pole Telescope (SPT) [23] in combination with galaxy and weak-lensing data from the Dark Energy Survey (DES) [24–26], photometric data from the Wide-field Infrared Survey Explorer (WISE) [27], and targeted weak-lensing measurements from the *Hubble Space Telescope* (HST). We select the galaxy clusters via the thermal Sunyaev-Zel’dovich (SZ) [28] effect in data from the first two SPT cameras, SPT-SZ and SPTpol (SPT-3G being the third, currently operating camera). The SZ effect is sourced by the electron pressure in the hot gas component of the cluster (the intracluster medium, or ICM) and arises when CMB photons scatter with these high-energy electrons, leading to a distinct spectral signature. The SZ effect signal is thus a clean tracer of the hot ICM and enables the detection of galaxy clusters out to the highest redshifts at which massive halos exist, thereby creating an essentially mass-limited sample whose limiting mass does not evolve strongly with redshift. Optical and near-infrared data from DES, WISE, and other targeted observation

programs are then used to confirm clusters (and to exclude false detections) and to assign redshifts to all confirmed systems. With these follow-up data taken from wide-field surveys, we can robustly calibrate the probability of chance association of random optical structures with an SZ noise fluctuations through measurements along random lines of sight [29,30].

To relate the strength of the SZ effect signal to the underlying halo mass, we cannot rely on first principles because modeling the dynamical state of the ICM is highly complex. In particular, the ICM cannot be assumed to be in hydrostatic equilibrium. Instead, we use measurements of the weak gravitational shear, which depends on the total halo mass irrespective of its dynamical state, and which can thus be mapped to halo mass with excellent control over systematic uncertainties (for a review on cluster lensing, see [31]). We now leverage the strategic overlap of the SPT survey and DES footprints and—for the first time—use DES lensing data for mass calibration in a cosmological analysis of SPT-selected clusters. Within our modeling framework, we empirically constrain the SZ-mass relation in a robust, weak-lensing-based way, thereby addressing and overcoming the main challenge in cluster cosmology; the accurate calibration of cluster masses.

Using 5200 deg² of SPT cluster surveys, we select 1005 clusters at redshift $z > 0.25$. In the 3567 deg² overlap region between the DES and SPT surveys, we obtain measurements of weak-lensing shear from DES Year 3 data (hereafter DES Y3) for 688 clusters. Since DES lensing runs out of constraining power for high-redshift ($z \gtrsim 0.9$) clusters, we supplement the DES lensing dataset with targeted lensing observations of 39 clusters in the redshift range $0.6 < z < 1.7$ using the HST. This joint cluster and lensing dataset represents a significant improvement over the previous analysis of SPT clusters [7], which was based on 344 clusters in the SPT-SZ survey [32]. In that analysis, we used cluster lensing data from targeted programs at Magellan (19 clusters, [33]) and HST (13 clusters, [34]). The reduced statistical and systematic uncertainties of the dataset we consider here enables significantly improved cosmological constraints.

In this paper, we present cosmological constraints from the joint analysis of the SPT cluster abundance with a weak-lensing mass calibration using DES and HST data. The data products, the cluster lensing measurements using DES Y3 data, the modeling choices, the analysis pipeline, and its validation are presented in detail in a companion paper ([35], hereafter Paper I). We briefly review the dataset in Sec. II. We summarize the analysis method in Sec. III. We discuss our blinding strategy and the robustness tests that were performed prior to unblinding of the results in Sec. IV. We present our cosmological constraints in Sec. V, and close with a summary in Sec. VI.

¹ $S_8 \equiv \sigma_8 \sqrt{\Omega_m/0.3}$ is a combination of the amplitude of fluctuations in the linear matter density field on scales of $8 h^{-1}$ Mpc (σ_8) and the matter density Ω_m .

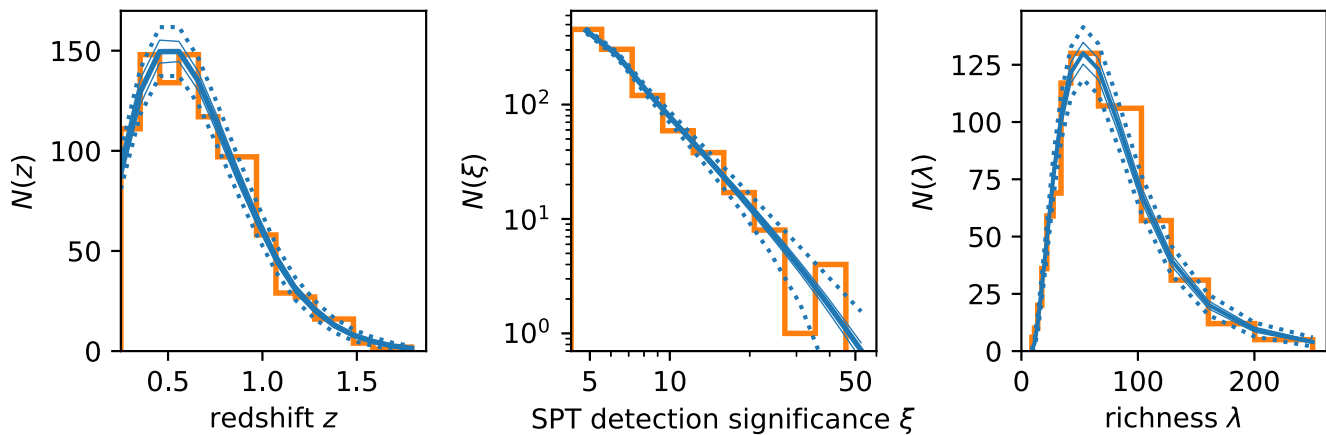


FIG. 1. Projection of the 1,005 clusters in the SPT sample along the dimensions of redshift, SPT detection significance, and optical richness. Orange histograms show the data. Thick lines show the mean recovered model in an analysis of the cluster abundance and cluster lensing, thin solid lines show the 1σ uncertainty on the mean, and dotted lines show the 1σ Poisson uncertainty (statistical shot noise). There is qualitative agreement between the model and the data.

Throughout this analysis, we assume spatial flatness. The parameter combination $S_8 \equiv \sigma_8(\Omega_m/0.3)^{0.5}$ is defined to be tightly constrained by cosmic shear. We empirically determine that our cluster dataset optimally constrains a parameter combination with an exponent of 0.225. However, for comparability, we adopt $S_8^{\text{opt}} \equiv \sigma_8(\Omega_m/0.3)^{0.25}$, which is the optimal combination for CMB lensing [17].² Halo masses M_{200c} refer to the mass enclosed within a sphere of radius r_{200c} , within which the mean density is 200 times larger than the critical density $\rho_c(z)$ at the cluster redshift z . We express the (multivariate) normal distribution with mean $\boldsymbol{\mu}$ and (co)variance \mathbf{K} as $\mathcal{N}(\boldsymbol{\mu}, \mathbf{K})$.

II. DATA

We summarize the data products used in this analysis. For a detailed description, we refer the reader to Paper I.

A. SPT cluster catalog

We use the cluster catalogs from the SPT-SZ, SPTpol ECS, and SPTpol 500d surveys [30,32,36,37]. Combined, they cover over 5,200 deg^2 of the southern sky. Note that the deep SPTpol 500d survey lies within the footprint of the SPT-SZ survey; in the overlap area, we use only data from SPTpol 500d. The cluster candidate list is selected on the basis of SPT detection significance ξ and redshift z .

Over the part of the SPT survey that is not covered by DES (27% of the total SPT-SZ and SPTpol cluster survey area), we confirm clusters and assign redshifts using targeted optical observations (using among others, the

PISCO imager [38]) as described in [30,32]. We select all candidates with

$$\xi > 5, \quad z > 0.25, \quad (1)$$

which, after follow-up, results in a highly pure cluster sample ($\gtrsim 95\%$) [30,32].

Over the 3567 deg^2 of the SPT survey that is covered by DES (73% of the total survey area), we obtain measurements of optical richness λ and of redshift z using the multicomponent matched filter (MCMF) cluster confirmation tool [29,36]. At high cluster redshifts $z > 1.1$, which are beyond the reach of DES, we use data from WISE to compute richness and redshift. To characterize the probability of chance associations, we also compute richness and redshift for random lines of sight in the DES footprint. With this information, we can define a minimum richness λ_{\min} above which we consider an SZ cluster candidate as confirmed. In practice, λ_{\min} depends on redshift, and we define $\lambda_{\min}(z)$ such that the final sample purity is $>98\%$ (see Fig. 2 in Paper I).³ At fixed SPT detection significance, the different SPT surveys have different levels of purity because of the varying depths. To keep the overall sample purity approximately constant, over the joint SPT-DES area, we select clusters according to

$$\begin{aligned} \xi &> 4.25/4.5/5(500d/SZ/ECS), \\ \lambda &> \lambda_{\min}(z), \\ z &> 0.25. \end{aligned} \quad (2)$$

²The uncertainties in our constraints on $\sigma_8(\Omega_m/0.3)^{0.225}$ and $\sigma_8(\Omega_m/0.3)^{0.25}$ differ by less than a percent.

³Any remaining level of sample contamination is thus within the shot noise of the sample.

The final sample comprises 1005 confirmed clusters with redshift measurements.⁴ All clusters in the DES region also have richness measurements and optically determined center positions (from DES or WISE). Figure 1 shows the distribution of clusters as a function of redshift, SPT detection significance, and optical richness.

B. DES Y3 weak-lensing data

The DES covers 5000 deg² of the southern sky in the g , r , i , z , and Y bands. The DES Y3 cosmology dataset covers 4143 deg² after masking, of which 3567 deg² overlap with the SPT cluster surveys. The weak-lensing shape catalog [39] is created by applying the Metacalibration pipeline [40,41] to data from the r , i , and z bands. Detailed information about the photometric dataset [42], the modeling of the point-spread function [43], and image and survey simulations [44,45] can be found in dedicated DES Y3 publications. Following the 3×2 pt analysis [9], we select lensing source galaxies in four tomographic bins. The redshift distributions of these source bins are calibrated using self-organizing maps [46].

For every SPT cluster in the DES footprint, we extract a weak-lensing shear profile within the radial range $0.5 < r/(h^{-1} \text{ Mpc}) < 3.2(1 + z_{\text{cluster}})^{-1}$, centered on the optically determined cluster center.⁵ This radial cut avoids the problematic central region of the cluster (affected by feedback from active galactic nuclei, miscentering, blending, cluster member contamination, nonlinear shear) and ensures that only the 1-halo term regime is considered [47]. We restrict the use of DES weak-lensing data to clusters below redshift $z = 0.95$, which corresponds to the median redshift of the highest-redshift source bin. We extract 688 cluster shear profiles from a total of 555,912 source galaxies. For illustrative purposes, we show stacked shear profiles in bins of cluster redshift and SPT detection significance in Fig. 2.

For the purpose of robustness checks (see Sec. IV), we also measure shear profiles in a more conservative radial range $0.8 < r/(h^{-1} \text{ Mpc}) < 3.2(1 + z_{\text{cluster}})^{-1}$. As another alternative, we measure shear around the cluster centers as determined in the SZ analysis. We estimate the level of cluster member contamination using two different redshift estimators (DNF [48] as the default, and BPZ [49] as an alternative).

We quantify and discuss the relevant sources of systematic and statistical uncertainties related to the weak-lensing measurements (cluster member contamination, miscentering of the shear profile, shear and photo- z calibration, halo

⁴The statistical uncertainties in the redshift measurements do not limit the cosmological constraining power of our cluster dataset.

⁵We convert the measured angular separations to physical separations assuming $\Omega_m = 0.3$.

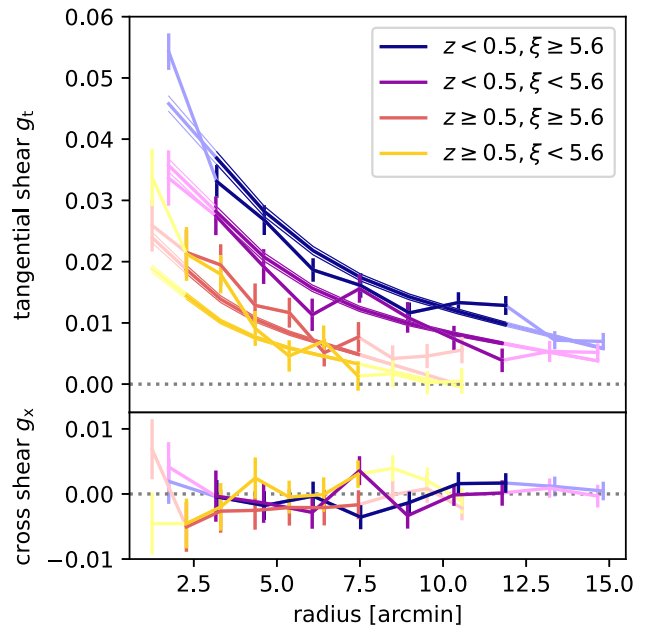


FIG. 2. Stacked DES Y3 lensing shear profiles. Upper panel: tangential shear, along with the mean model prediction from an analysis of the cluster abundance and cluster lensing. The likelihood analysis is performed on a cluster-by-cluster basis, the stacks are only shown for validation purposes. The dark shading shows the radial ranges used in the analysis, light shaded data points outside that range are only shown for reference. For the 26 data points included in the analysis, we measure a signal-to-noise ratio of 32.2 and we obtain a reduced $\chi^2_{\text{red}} = 1.48$ between the data and the model. Lower panel: within the radial ranges used in the analysis, the cross shear is consistent with null with $\chi^2_{\text{red}} = 0.90$.

mass modeling, impact of large-scale structure) in detail in Paper I, Sec. V.

C. High-redshift HST weak-lensing data

The DES lensing data does not have substantial constraining power for lenses with $z \gtrsim 0.9$, and we use HST data to enable weak-lensing mass calibration at higher redshifts. Using the HST-39 dataset presented and analyzed in [34,50–53], we have a sample of 39 clusters in the redshift range 0.6–1.7 with space-based weak-lensing measurements.

III. ANALYSIS METHOD

The analysis method is introduced and described in detail in Paper I. Here, we briefly review the key features.

A. Summary of analysis strategy

We set up a Bayesian (hierarchical) population model to describe our dataset (see also [54–56]). We explicitly model the selection criteria that we adopted to construct the cluster catalog. Specifically, these are cuts in the SPT detection

significance ξ , cuts in the optical richness λ (over the footprint that is common with DES), and a cut in redshift [see Eqs. (1) and (2)]. Clusters with weak-lensing data additionally have measurements of tangential shear profiles.

We follow analytical and simulation-based models for observable-mass relations, e.g., [57,58], and describe the scaling relation between the unbiased SPT detection significance ζ and mass as

$$\langle \ln \zeta \rangle = \ln A_{SZ} + B_{SZ} \ln \left(\frac{M_{200c}}{3 \times 10^{14} h^{-1} M_{\odot}} \right) + C_{SZ} \ln \left(\frac{E(z)}{E(0.6)} \right) \quad (3)$$

with log-normal intrinsic scatter of width $\sigma_{\ln \zeta}$, and relate the detection significance ξ to ζ as

$$P(\xi|\zeta) = \mathcal{N}(\sqrt{\zeta^2 + 3}, 1) \quad (4)$$

to account for the maximization bias when measuring ξ in noisy data [59]. We account for the varying depth within the SPT survey by rescaling A_{SZ} and C_{SZ} for each individual field [30,32,37]. For the SPTpol ECS survey, the overall normalization γ_{ECS} of A_{SZ} is difficult to calibrate (owing to detector linearity changes under high atmospheric loading at the elevation of these fields, see Sec. II 2 [30]), and we thus consider γ_{ECS} as an additional fit parameter.

We choose the same ansatz for the relation between the intrinsic richness $\tilde{\lambda}$ and mass

$$\langle \ln \tilde{\lambda} \rangle = \ln A_{\lambda} + B_{\lambda} \ln \left(\frac{M_{200c}}{3 \times 10^{14} h^{-1} M_{\odot}} \right) + C_{\lambda} \ln \left(\frac{1+z}{1.6} \right), \quad (5)$$

with log-normal intrinsic scatter of width $\sigma_{\ln \tilde{\lambda}}$, and relate the observed richness λ to $\tilde{\lambda}$ as

$$P(\ln \lambda | \ln \tilde{\lambda}) = \mathcal{N}(\ln \tilde{\lambda}, 1/\tilde{\lambda}). \quad (6)$$

We consider two different types of measured richness; those based on DES data, which we use for clusters with redshift $z < 1.1$, and those based on WISE, which we use for clusters with redshifts beyond 1.1. Rather than attempting to match the two types of richness within an overlap range in redshift, we fit a separate observable-mass relation for each of the two types of richness, with a clean transition from DES-based to WISE-based richness at redshift $z = 1.1$.

Using the relations defined in Eqs. (3)–(6), we can model the ξ - λ - z sample by convolving the halo mass function with the observable-mass relations (accounting for the covariance in intrinsic scatter $\rho_{SZ,\tilde{\lambda}} \sigma_{\ln \zeta} \sigma_{\ln \tilde{\lambda}}$) and applying the sample selection cuts. There is no external information on the parameters A , B , C of the scaling relations or on the

intrinsic scatter $\sigma_{\ln \zeta}$, $\sigma_{\ln \tilde{\lambda}}$, and we use weak-lensing data of sample clusters to calibrate these observable relation parameters empirically. Because we can infer the halo mass from lensing data with excellent control over systematic uncertainties, this is a robust analysis strategy.

We introduce the modeling framework with which we analyze the DES Y3 lensing data in Paper I. Here, we briefly review the method. We adopt a simple model for the projected halo mass distribution Σ based on a modified Navarro-Frenk-White profile (NFW) [60].⁶ We introduce a latent variable that we call the “weak-lensing mass” M_{WL} , which we define such that the reduced tangential shear is

$$g_t(r, M_{WL}) = \frac{\Delta \Sigma(r, M_{WL}) \Sigma_{\text{crit}}^{-1}}{1 - \Sigma(r, M_{WL}) \Sigma_{\text{crit}}^{-1}} (1 - f_{\text{cl}}(r)). \quad (7)$$

The density contrast $\Delta \Sigma(r) \equiv \langle \Sigma(< r) \rangle - \Sigma(r)$ is computed from Σ , and the lensing efficiency $\Sigma_{\text{crit}}^{-1}$ is computed from the distribution of source redshifts and the lens redshift. Note that $\Sigma_{\text{crit}}^{-1}$ explicitly depends on cosmology. The cluster member contamination $f_{\text{cl}}(r)$ accounts for contaminants in the lensing source sample that are not sheared and thus bias the measurement low [therefore, $(1 - f_{\text{cl}})^{-1}$ is often referred to as the boost factor].

The cluster lensing model we just introduced is not perfect (e.g., halos do not individually match the NFW profile) and M_{WL} inferred from the shear profile g_t is thus a biased and noisy estimator of the true halo mass M_{halo} [61,62]. To account for this, we establish a mean relationship,

$$\left\langle \ln \left(\frac{M_{WL}}{M_0} \right) \right\rangle = b_{WL}(z) + b_{WL,M} \ln \left(\frac{M_{200c}}{M_0} \right), \quad (8)$$

and describe the width of the log-normal scatter around the mean as

$$\ln \sigma_{\ln M_{WL}} = \frac{1}{2} \left[s_{WL}(z) + s_{WL,M} \ln \left(\frac{M_{200c}}{M_0} \right) \right], \quad (9)$$

with a pivot mass $M_0 = 2 \times 10^{14} h^{-1} M_{\odot}$.

We create synthetic cluster shear maps by applying the source redshift distribution, cluster miscentering, and cluster member contamination to halo mass maps from numerical simulations, and we then use these maps to calibrate the free parameters of the M_{WL} - M_{halo} relation [47].⁷ Note that we use the mass maps from full-physics

⁶To approximately account for the effect of miscentering, we modify the NFW profile such that it is constant within the typical cluster miscentering radius R_{mis} (see Paper I, Sec. VB).

⁷All systematic and stochastic uncertainties in the lensing model and data, in halo morphology, and in the projected large-scale structure along the cluster line of sight are thus accounted for in our M_{WL} - M_{halo} relation.

hydrodynamical simulations and establish the relationship between M_{WL} and the “gravity-only mass” M_{halo} as measured in paired gravity-only simulations with identical initial conditions. This has the benefit that our model includes the effect of baryons, but we are still able to use the arguably more robust halo mass function predictions from gravity-only simulations [such as [63]] (including emulators e.g., [64–66]). In practice, we apply this method to the Magneticum [67–70] and the Illustris TNG simulations [71–76]. The recovered constraints on the $M_{\text{WL}}-M_{\text{halo}}$ relation differ somewhat, which we interpret as an uncertainty in the modeling of baryonic effects. We inflate the uncertainty on all parameters of the $M_{\text{WL}}-M_{\text{halo}}$ relation by this additional uncertainty given in Table 2 [47] that, e.g., for the amplitude b_{WL} , amounts to 2%. As we will show below, this level of systematic uncertainty is smaller than the current level of statistical uncertainty in the lensing dataset, and our analysis therefore does not strongly depend on the assumption that the Magneticum or Illustris TNG simulation correctly reproduces the real Universe.

For the HST-39 dataset, we use a similar modeling approach, and also adopt M_{WL} as a latent variable. Note, however, that several analysis choices differ. For example, the selection of HST lensing sources via color cuts leads to a much smaller level of cluster member contamination than in the DES Y3 analysis. Therefore, we do not explicitly model this contamination, and we do not explicitly model the effect of miscentering, either. We do, of course, take all sources of uncertainty into account in the $M_{\text{WL}}-M_{\text{halo}}$. The full HST cluster lensing model is presented in detail in the original analyses [34,52,53].

B. Likelihood, pipeline, priors, and sampling

We infer parameters \mathbf{p} from our data assuming a cluster population model. Bayes’ theorem states,

$$P(\mathbf{p}|\text{data}, \text{model}) \propto P(\text{data}|\mathbf{p}, \text{model})P(\mathbf{p}|\text{model}), \quad (10)$$

where $P(\mathbf{p}|\text{model})$ is the prior probability distribution of the parameters. The factor $P(\text{data}|\mathbf{p}, \text{model})$ is the likelihood \mathcal{L} of the data given the (fixed) model and the parameters. A detailed discussion of our likelihood function and its numerical implementation can be found in Paper I, Sec. VII. Briefly, we adopt a log-likelihood

$$\begin{aligned} \ln \mathcal{L} = & \ln \text{Poisson} \left(\text{sample} \{ \xi_i, \lambda_i, z_i \}_{i=1}^{N_{\text{clusters}}} \left| \frac{d^3 N(\mathbf{p})}{d\xi d\lambda dz} \right. \right) \\ & + \sum_i \ln P(\lambda_i, \mathbf{g}_{t,i} | \lambda_i > \lambda_{\text{min}}(z), \xi_i, z_i, \mathbf{p}), \end{aligned} \quad (11)$$

where the first term is the unbinned Poisson likelihood of the cluster sample $\{\xi, \lambda, z\}$ and its distribution $\frac{d^3 N(\mathbf{p})}{d\xi d\lambda dz}$ in $\xi-\lambda-z$ space, and the second term is the mass calibration likelihood; the conditional probability to observe the

tangential shear profile \mathbf{g}_t and richness λ for a given cluster in the sample with measured ξ and z , and whose richness is above the minimum richness λ_{min} .

We implement the likelihood function as a Python module in the CosmoSIS framework [77].⁸ We validate the implementation of the analysis pipeline by analyzing several statistically independent mock catalogs that we create from the model. Because creating those mocks is less challenging than analyzing them, this is a meaningful test of the analysis pipeline (rather than a test of the mock creation). For further details, we refer the reader to Paper I, Sec. VIII.

The parameters that we vary in the baseline analysis are defined in Table I, along with the informative priors where applied. The model for the DES cluster lensing mass bias and scatter are functions of cluster redshift, and the parameters $\sigma_{\ln b_{\text{WL},1/2}}$ and s_{WL} modulate the uncertainty of the model (therefore, the priors are centered on 0 with unit width) [see Paper I, Sec. VB]. We follow the same approach for the HST lensing data, where each cluster has its own bias and scatter, and the shared uncertainties are modulated via b_{HST} and s_{HST} . The priors on the scatter (>0.05) improve the numerical stability of the code. The priors on the correlation coefficients $\mathcal{U}(-0.5, 0.5)$ are chosen such that the resulting correlation matrix is non-singular for all parameter combinations. The parameters h , $\Omega_b h^2$, and n_s are not constrained by the cluster dataset, and are not significantly correlated with the parameters of interest. We apply Gaussian priors on $\Omega_b h^2$ and n_s from *Planck* [2], and a prior on the Hubble parameter $h \sim \mathcal{N}(0.70, 0.05^2)$ that is wide enough to encompass all currently favored constraints. When analyzing the cluster dataset jointly with *Planck*, we do not apply these three Gaussian priors, and we additionally consider the optical depth to reionization τ as a free parameter.

We explore the parameter space using either the MULTINEST or the NAUTILUS sampler [78,79]. The potential amount by which these samplers may or may not underestimate the parameter uncertainties is small and does not change our findings in any qualitatively relevant way [80].

IV. BLINDING, ROBUSTNESS TESTS, AND UNBLINDING

In this section, we describe our blinding strategy and the robustness tests that were performed prior to unblinding of the results. We check for internal consistency of the dataset and verify the robustness to several analysis choices in the processing of the DES Y3 lensing data.

A. Blinding strategy

To avoid compromising our analysis with any expectations we may have regarding what the results should or

⁸<https://cosmosis.readthedocs.io/>.

TABLE I. Fit parameters in the baseline analysis of the abundance of SPT clusters with DES Y3 and HST-39 lensing. A missing entry (\dots) for the prior indicates that a uniform prior is applied that is wide enough to not be informative. Priors with a lower limit ($>$) are uniform above that bound with an uninformative upper bound. The parameters of the lensing models are prior-dominated and inform the empirical calibration of the other observable-mass relations. The prior on $\Omega_\nu h^2$ corresponds to a prior on the sum of neutrino masses $\sum m_\nu \sim \mathcal{U}(0, 0.6)$ eV.

Parameter	Description	Informative prior
DES Y3 cluster lensing		
$\sigma_{\ln b_{\text{WL},1}}$	Scaling of bias	$\mathcal{N}(0, 1)$
$\sigma_{\ln b_{\text{WL},2}}$	Scaling of bias	$\mathcal{N}(0, 1)$
$b_{\text{WL},M}$	Mass slope of bias	$\mathcal{N}(1.029, 0.006^2)$
s_{WL}	Scaling of scatter	$\mathcal{N}(0, 1)$
$s_{\text{WL},M}$	Mass slope of scatter	$\mathcal{N}(-0.226, 0.040^2)$
HST cluster lensing		
b_{HST}	Overall scaling of bias	$\mathcal{N}(0, 1)$
s_{HST}	Overall scaling of scatter	$\mathcal{N}(0, 1)$
SZ-mass parameters		
$\ln A_{\text{SZ}}$	Amplitude	\dots
B_{SZ}	Mass slope	\dots
C_{SZ}	Redshift evolution	\dots
$\sigma_{\ln \zeta}$	Intrinsic scatter	>0.05
γ_{ECS}	Depth of SPTpol ECS	\dots
DES richness-mass parameters (used for $z < 1.1$)		
$\ln A_\lambda$	Amplitude	\dots
B_λ	Mass slope	\dots
C_λ	Redshift evolution	\dots
$\sigma_{\ln \tilde{\lambda}}$	Intrinsic scatter	>0.05
WISE richness-mass parameters (used for $z > 1.1$)		
$\ln A_\lambda$	Amplitude	\dots
B_λ	Mass slope	\dots
C_λ	Redshift evolution	\dots
$\sigma_{\ln \tilde{\lambda}}$	Intrinsic scatter	>0.05
Correlation coefficients		
$\rho_{\text{SZ,WL}}$	SZ-weak-lensing	$\mathcal{U}(-0.5, 0.5)$
$\rho_{\text{SZ},\tilde{\lambda}}$	SZ-richness	$\mathcal{U}(-0.5, 0.5)$
$\rho_{\text{WL},\tilde{\lambda}}$	Weak-lensing–richness	$\mathcal{U}(-0.5, 0.5)$
Cosmology		
Ω_{m}	Matter density	>0.1
$\Omega_\nu h^2$	Neutrino density	$\mathcal{U}(0, 0.00644)$
$\Omega_{\text{b}} h^2$	Baryon density	$\mathcal{N}(0.02236, 0.00015^2)$
h	Hubble parameter	$\mathcal{N}(0.7, 0.05^2)$
$\ln 10^{10} A_s$	Amplitude of $P(k)$	\dots
n_s	Scalar spectral index	$\mathcal{N}(0.9649, 0.0044^2)$
w	Dark energy equation of state parameter	-1 (Λ CDM) $\mathcal{U}(-2, -0.4)$ (wCDM)

should not look like, we proceed as follows. First, the SPT cluster catalogs and the DES Y3 lensing data were finalized before we started this analysis. Second, we did not compare any weak-lensing mass estimates or preliminary SZ-mass

relations with literature values. Third, we blinded the astrophysical and cosmological constraints obtained in this work until the robustness of the results against specific analysis choices was demonstrated. To do so, we created unknown, random, but constant *blinding offset parameters* with which we shifted the parameters when reading in any MCMC chain. It was thus possible to compare different blinded analyses with each other to check for relative shifts in the recovered parameters (or changes in the parameter uncertainties) without knowing the actual parameter values.

The HST-39 cluster lensing dataset was finalized, and its analysis published before we started this analysis. Therefore, the parameter constraints favored by this dataset were known, and we preferred to not use these data in the blinded phase of the analysis.

The blinded runs were set up assuming a Λ CDM model with wide flat priors on the fit parameters. Failure to choose sufficiently wide priors might lead to the blinded results showing hard cuts, thereby effectively unblinding the respective parameter constraint. Note that arbitrarily wide priors are not always possible, because, e.g., the scatter parameters or Ω_{m} need to be strictly positive. All but the first test are summarized in Fig. 3, which shows the constraints on key parameters. The results in the first row (blue) are obtained from the full dataset (without HST-39), marginalized over all parameters in Table I. The second row (black) shows the constraints from the same analysis, except that we fix a selection of model parameters to their fiducial values; the parameters of the $M_{\text{WL}}-M_{\text{halo}}$ relations, the correlation coefficients on intrinsic scatter, $\Omega_{\text{b}} h^2$, $\Omega_\nu h^2$, and n_s . The parameter shifts are small, which also suggests that our constraints are not limited by the systematic uncertainty in the DES Y3 lensing model. To save computational resources, and to make the robustness tests somewhat more stringent, all cross-check analyses discussed in the next section are run in this restricted parameter space, with a selection of parameters fixed as just discussed.

Before running the blinded robustness tests, we had agreed that we would unblind the results if no test reveals a statistically significant difference with the baseline analysis.

B. Tests performed prior to unblinding

We now summarize the tests that were conducted with the blinding setup discussed above.

1. Combination of mass calibration and abundance

We derive constraints from just the cluster abundance [first term in the likelihood Eq. (11)] and just mass calibration (second term in the equation). We do not expect interesting constraints on the cosmological parameters from these individual analyses, and Fig. 4 shows broad uncertainties in the cosmological parameters and elongated

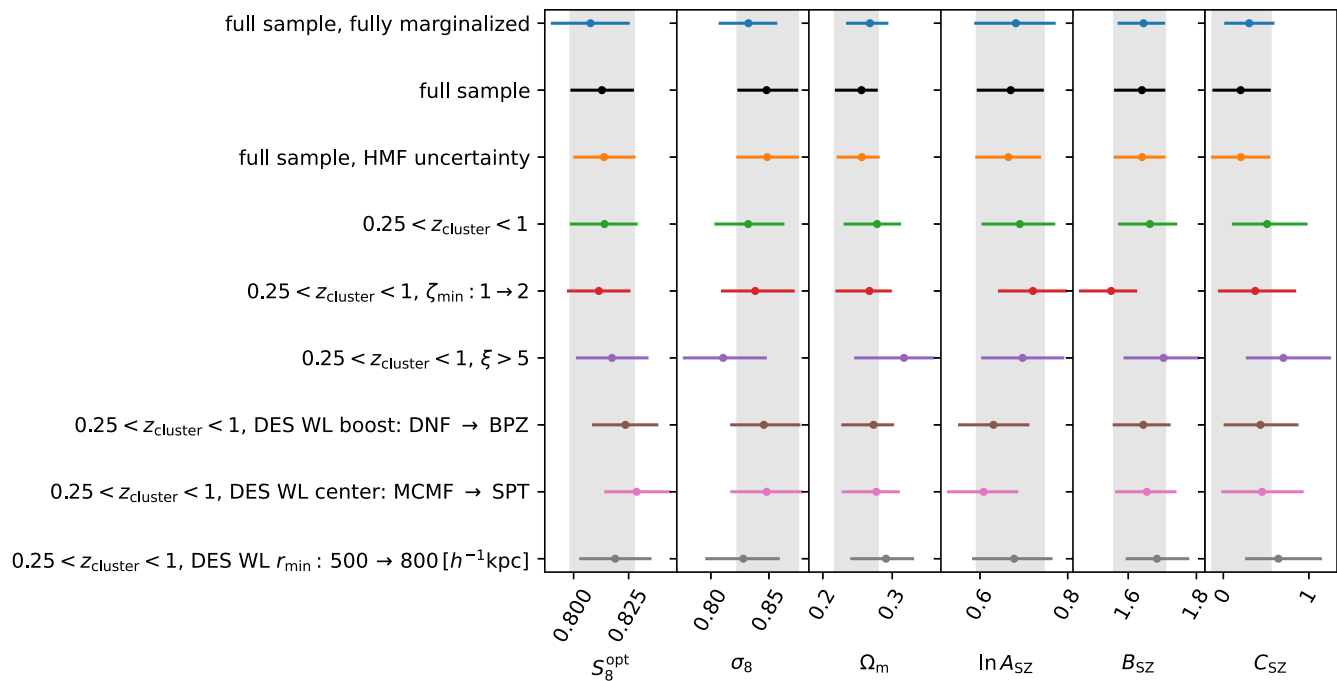


FIG. 3. Impact of different analysis choices on the constraints on key cosmological and SZ-mass relation parameters (mean and 68% credible interval), assuming a Λ CDM model. During the blinded phase of the analysis, the parameter values were artificially offset by an unknown amount. The vertical gray bands show the 68% credible interval of the full sample analysis (second row) for reference. All results shown here are based on mass calibration using only the DES Y3 lensing data, the HST-39 lensing data are not used. Only the results in the first row shows constraints that are marginalized over all nuisance parameters; for simplicity, for all other tests, we fix the parameters of the $M_{\text{WL}}-M_{\text{halo}}$ relations, the intrinsic scatter correlation coefficients, and $\Omega_b h^2$, $\Omega_c h^2$, and n_s to their nominal values. DNF and BPZ are two redshift estimators that we use to determine the amount of cluster member contamination in the lensing signal. MCMF and SPT refer to cluster centers as measured in optical data by MCMF or as determined in the SZ analysis of SPT data.

parameter degeneracies (gray and red contours).⁹ The two posterior distributions overlap, and we combine the two analyses to obtain constraints from the cluster abundance with weak-lensing-informed mass calibration (blue contours in Fig. 4). The constraints on select parameters are shown labeled as “full sample” in Fig. 3. We note that the situation is similar for the parameters of the richness-mass relations, for which the individual constraints overlap, and tighten when we combine the abundance with the lensing mass calibration.

2. Goodness of fit

We validate that the model is an adequate description of the data by extracting posterior predictive distributions from the blinded MCMC chains (of the cluster abundance and lensing analysis) and comparing them with the data. In Fig. 1, blue lines show the mean predicted model for the

cluster abundance. Similarly, in Fig. 2, we show the mean predicted stacked shear profiles and the error on the mean. We compute the statistical difference between each stack and the mean model, and obtain values for $\chi^2 \in \{11.3, 8.1, 7.3, 10.6\}$ for $\{7, 7, 6, 6\}$ data points in the $\{(z < 0.5, \xi \geq 5.6), (z < 0.5, \xi < 5.6), (z \geq 0.5, \xi \geq 5.6), (z \geq 0.5, \xi < 5.6)\}$ bins. For the full dataset, we measure $\chi^2 = 37.4$ for 26 data points, and conclude that the model adequately describes the data.

3. Uncertainty in the halo mass function

We marginalize over a 3.5% uncertainty in the amplitude and a 2% uncertainty in the slope of the mass function (following [81]). The lack of parameter shifts in Fig. 3 suggests that we can neglect uncertainties in the halo mass function, which we will do from here on out.¹⁰

4. Restricting the analysis to low redshift $z < 1$

At redshifts beyond $z \gtrsim 1$, it remains unclear how strong the correlated astrophysical emission, and thus the

⁹The mass calibration analysis constrains the parameters of the observable-mass relations but does place interesting constraints on the cosmological parameters. The analysis of the abundance measurement cannot tightly constrain the cosmological parameters, either, because these are degenerate with the scaling relation parameters (this is simply a manifestation of the fact that cluster abundance cosmology requires mass information).

¹⁰We note that this test did not necessarily need to be run before unblinding.

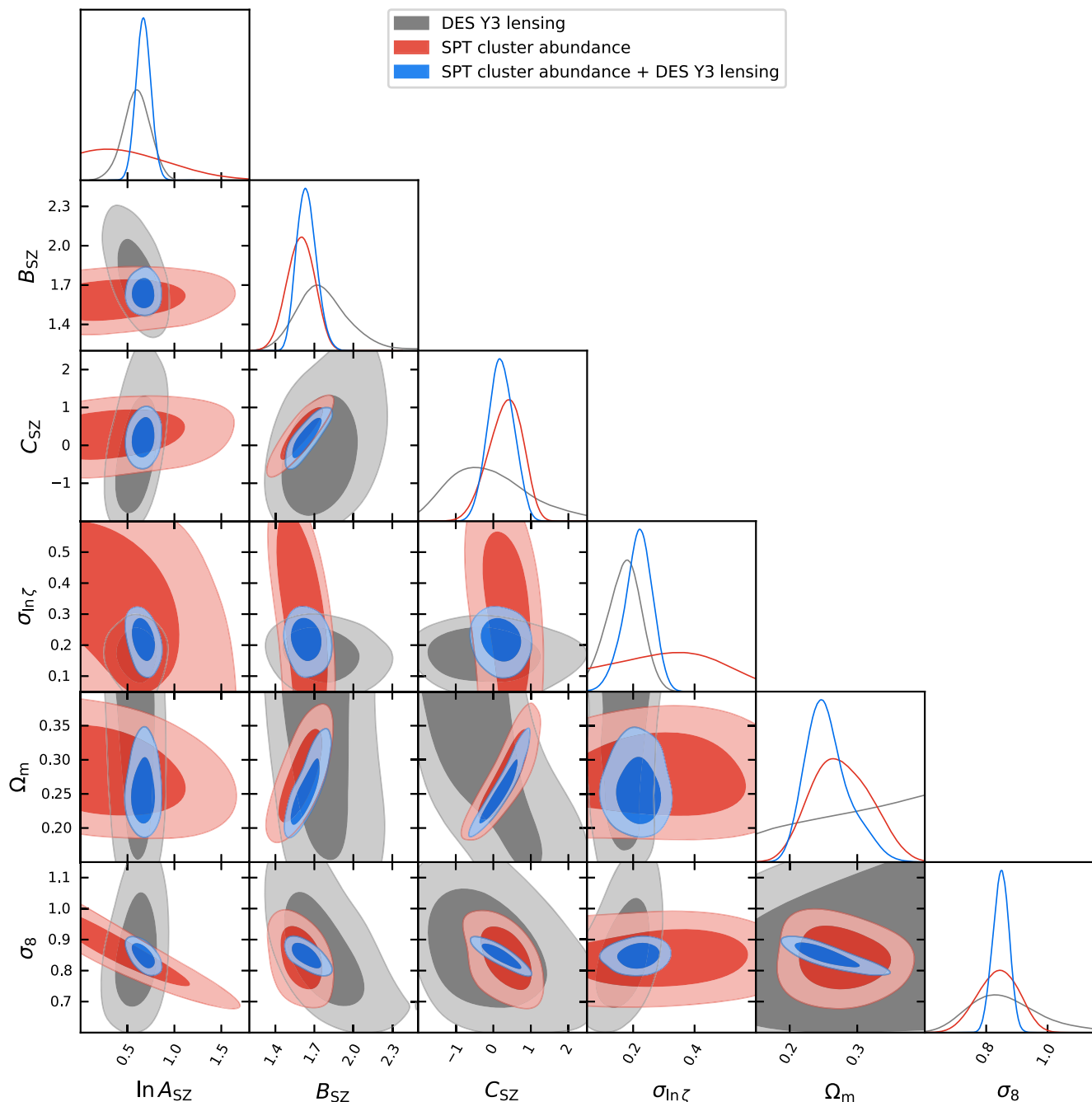


FIG. 4. Constraints (68% and 95% credible regions) on select scaling relation and cosmology parameters, as obtained from just the DES Y3 weak-lensing-based mass calibration (gray) or just the cluster abundance (red). The abundance and mass calibration results do not show signs of significant disagreement, and we combine them to obtain cosmological constraints from the joint analysis of cluster abundance and lensing (blue).

contamination of the cluster SZ signal is, although observational studies favor an effect that is only at the few-percent level, e.g., [82–85]. Also recall that we use DES data for cluster confirmation up to redshift $z = 1.1$, beyond which we use data from WISE. When limiting the analysis to $z < 1$, we thus test the robustness of using WISE data for cluster confirmation and redshift assignment jointly with the robustness against astrophysical contamination of the

SZ signal. We caution that disagreement between the fiducial sample and the low-redshift subset could also indicate interesting new physics (e.g., different growth of structure beyond $z \gtrsim 1$) rather than pointing to the effects we might worry about.

The constraints from the $0.25 < z_{\text{cluster}} < 1$ dataset (869 clusters compared to 1,005 in the full sample) show negligible shifts compared to the analysis of the full sample

(see Fig. 3). Since the remaining robustness checks are not expected to be sensitive to the redshift range of the sample, we perform the following tests on the $0.25 < z_{\text{cluster}} < 1$ sample.

5. The $\xi - \zeta$ relation

The $\xi - \zeta$ relation [Eq. (4)] cannot hold down to arbitrarily low significance as the formalism breaks down for $\xi < \sqrt{3}$. As in previous work, we define a limit ζ_{min} , below which the maximization bias in the $\xi - \zeta$ relation does not apply. Using simulations of the SZ sky, we estimate $\zeta_{\text{min}} = 1$. Here, we confirm that raising the threshold to $\zeta_{\text{min}} = 2$ (which was applied in, e.g., [86]) only has a small impact on the recovered parameters ($\zeta_{\text{min}} : 1 \rightarrow 2$ in Fig. 3).

6. Selection cut in SPT detection significance ξ

Enabled by the DES data and the MCMF analysis, in this study, we select clusters down to lower detection significances than previously done [see Eq. (2)]. We compare the constraints obtained using this selection scheme (869 clusters with $z < 1$) to the constraints obtained using the more conservative $\xi > 5$ selection (654 clusters with $z < 1$). As shown in Fig. 3 as $\xi > 5$ in purple, the constraint on S_8^{opt} is robust to this change, but the SZ mass slope B_{SZ} and the redshift evolution C_{SZ} both shift to higher values, and, due to parameter degeneracies, Ω_m also shifts to larger values.

We quantify these shifts more carefully. Since S_8^{opt} is effectively unchanged, and because of the parameter degeneracies, it is sufficient to focus on the constraints on Ω_m . First, we consider the analysis of the low-redshift $0.25 < z_{\text{cluster}} < 1$ dataset (green in Fig. 3), and examine the impact of additionally applying $\xi > 5$. Naively, one would compare the difference in the mean recovered values for Ω_m with the expected variance, computed as the sum of the variances from each analysis. Doing so, we observe a shift in Ω_m that is at the 0.5σ level. However, this simple estimate neglects the fact that the $\xi > 5$ sample is a subset of the larger catalog. In this case, “the covariance of the parameter differences between the partial and full analyses is simply the difference of the covariances” [87]. With this estimator, we report that Ω_m shifts at the 0.9σ level.

To empirically validate that this level of parameter shift is expected, we analyze pairs of mock catalogs (restricted to $z < 1$) for which one catalog is additionally restricted to $\xi > 5$.¹¹ After running two such mock analyses, we do indeed observe parameter shifts that are very similar to the one obtained from the real data.

Finally, we compute the consistency of the Ω_m measurement between the full cluster sample ($z > 0.25$) and the $\xi > 5, 0.25 < z < 1$ subsample (purple vs black in Fig. 3). Naively adding the variances gives a shift at the 0.9σ level,

¹¹We use the mock catalogs created for validating the analysis pipeline in Paper I.

and correctly subtracting the variances yields 1.2σ . We caution the reader that in this comparison, we consider the combined effects of restricting ourselves to low redshifts (which shifts Ω_m toward higher values) and to high significances (which further shifts Ω_m high). To summarize, we find that, while the observed shifts are interesting and might reveal new properties of SZ-selected clusters in upcoming analyses of larger samples, there is no statistically significant evidence of internal tension in the dataset.

7. Cluster member contamination

In the DES Y3 lensing analysis, we account for cluster member contamination of the lensing source catalog as determined by applying the $P(z)$ decomposition method to DNF source redshifts (see Paper I, Sec. IV D). However, the level of contamination derived using BPZ redshifts is significantly different. We test the robustness of our cosmological results to adopting the cluster member contamination as derived using BPZ instead of the fiducial one derived using DNF. The resulting parameter shifts are $\lesssim 1\sigma$ (see “DES WL boost: DNF \rightarrow BPZ” in Fig. 3). While this level of shifts is acceptable given the overall level of uncertainty, this test highlights the relative importance of the cluster member contamination in our analysis. Future lensing surveys are expected to enable a cleaner source selection, e.g., [88], which would reduce that relative importance.

8. Centers adopted for the lensing analysis

Our default analysis uses lensing data extracted around cluster positions as determined using the DES imaging data. We constrain the optical miscentering distribution and account for it in the lensing analysis (see Paper I, Secs. IV C and V B). As an alternative, we use the cluster centers as determined in the SZ analysis, and account for the corresponding miscentering distribution in the lensing model. The recovered constraints on A_{SZ} and S_8^{opt} shift by $\lesssim 1\sigma$, while the other parameters remain essentially unchanged (DES WL center: MCMF \rightarrow SPT in Fig. 3). We conclude that our miscentering models and corrections are adequate.

9. Radial range in the lensing analysis

Our fiducial setup ($r > 500 h^{-1}$ kpc) is a compromise between avoiding the cluster central regions (where miscentering, cluster member contamination, baryonic feedback, and possible nonlinear shear responses are particularly challenging to model), and including scales with high weak-lensing signal-to-noise ratios [47]. We validate this choice by comparing the fiducial results to an analysis with an even more conservative radial cut, $r > 800 h^{-1}$ kpc. The outer limit remains unchanged: $r < 3.2(1 + z_{\text{cluster}})^{-1} h^{-1}$ Mpc. As expected, the recovered parameter constraints slightly broaden compared to the fiducial setup, but the central values remain almost identical (last row in Fig. 3, DES WL $r_{\text{min}} : 500 \rightarrow 800 h^{-1}$ Mpc. This confirms that our model

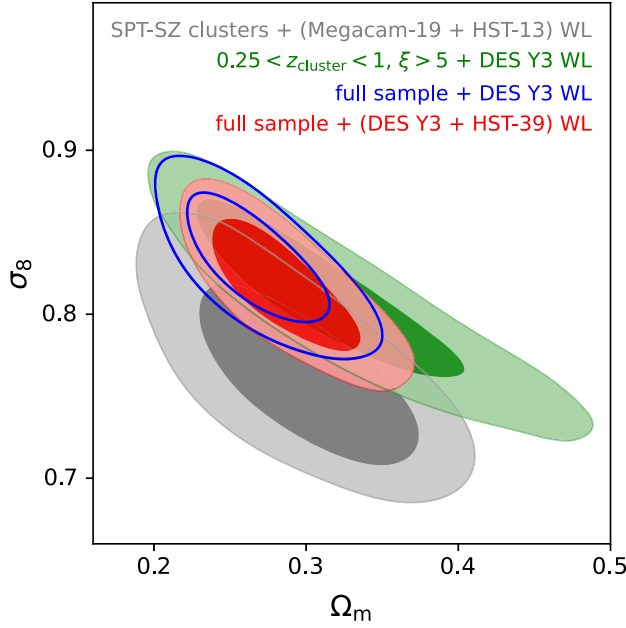


FIG. 5. Constraints on Ω_m and σ_8 from the abundance of SPT-selected clusters (68% and 95% credible regions). In comparison with the precursor analysis of 343 SPT-SZ clusters (gray contours; [7]), the larger cluster sample and the improved weak-lensing mass calibration enable tighter constraints (red contours). We also show the constraints obtained from the SPT cluster abundance with DES Y3 lensing, without using the HST-39 high-redshift cluster lensing dataset (blue contours). Green contours show the constraints obtained when restricting the cluster sample to $\xi > 5$, as was done in precursor analyses (this result also corresponds to item 6 in Sec. IV).

can adequately describe cluster shear profiles down to $r = 500 h^{-1}$ kpc.

C. Unblinding

The validation tests discussed in the previous section were designed to probe what we expected to be the most

probable points of failure of our model. We performed these tests while being blinded to the actual values of the mean recovered parameter constraints. Since no alternative analysis led to significant parameter shifts, we proceeded and removed the so-far unknown blinding parameter offsets. Throughout this work, we present the results as obtained after unblinding, with no further modification of the data or the analysis.

V. RESULTS

We present and discuss constraints on the Λ CDM and w CDM models, and on the scaling relation parameters. Our baseline setup includes the sum of neutrino masses $\sum m_\nu$ as a free parameter; however, we can only constrain it in combination with measurements of primary CMB anisotropies from *Planck*. We also present constraints on an empirical extension of the Λ CDM model, in which we allow the rate of structure growth to deviate from the standard prediction. We implement this test as a direct measurements of σ_8 at different redshifts between 0.25 and 1.8.

A. Λ CDM with massive neutrinos

In Fig. 5, we show the constraints on Ω_m and σ_8 as derived from the SPT cluster abundance. We note that supplementing the DES lensing data with high-redshift cluster lensing data from HST leads to a slight shift of the constraints along the degeneracy axis. The results obtained from the fiducial, combined analysis setup—SPT(SZ + pol) cluster abundance with (DES Y3 + HST-39) lensing—are summarized in Table II. As demonstrated in Fig. 5, our new analysis significantly improves over the precursor study, which used 343 clusters in the SPT-SZ survey and lensing information from 32 targeted observations [7]. Our new results are shifted toward higher values of σ_8 , which is caused by using DES Y3 lensing data for mass calibration. Remember that our analysis also uses a larger cluster sample than the predecessor analysis, but

TABLE II. Parameter constraints for the Λ CDM and w CDM models (mean and 68% credible intervals, or 95% limit). The SPTclusters + lensing dataset corresponds to SPT(SZ + pol) clusters + (DES Y3 + HST-39) WL. The parameters n_s and $\Omega_b h^2$ are not meaningfully constrained by the cluster dataset and the results are thus omitted here. Similarly, the cluster dataset cannot constrain h and $\sum m_\nu$ on its own, and we only quote the results recovered in combination with *Planck* 2018 TT, TE, EE + lowE [2].

Dataset	Ω_m	σ_8	S_8	S_8^{opt}	h	$\sum m_\nu$ [eV]	w
Λ CDM							
SPTclusters+lensing	0.286 ± 0.032	0.817 ± 0.026	0.795 ± 0.029	0.805 ± 0.016	-1
SPTclusters+lensing + <i>Planck</i>	0.315 ± 0.011	0.807 ± 0.013	0.827 ± 0.013	0.817 ± 0.013	0.674 ± 0.008	<0.18	-1
w CDM							
SPTclusters+lensing	0.268 ± 0.037	0.820 ± 0.026	0.772 ± 0.040	0.796 ± 0.020	-1.45 ± 0.31
SPTclusters+lensing + <i>Planck</i>	0.257 ± 0.026	0.848 ± 0.027	0.783 ± 0.026	0.814 ± 0.016	0.75 ± 0.04	<0.6	$-1.34^{+0.22}_{-0.15}$

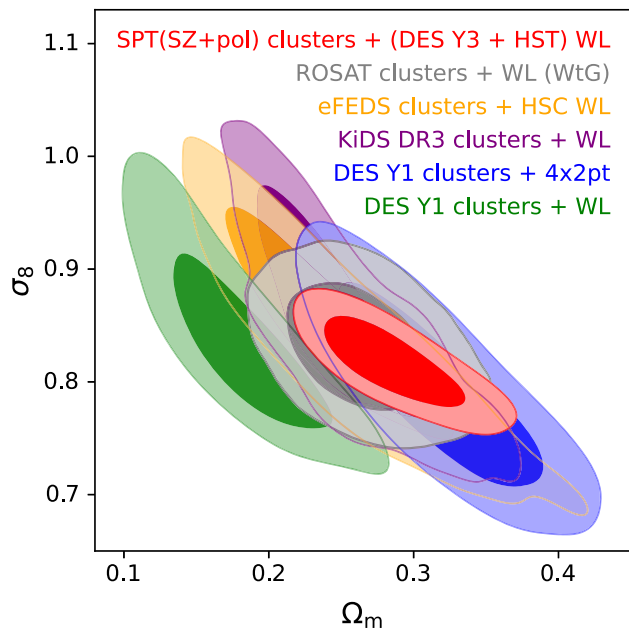


FIG. 6. Constraints on Ω_m and σ_8 from various analyses of the cluster abundance (68% and 95% credible regions). Along with our work, we also show results obtained from x-ray selected clusters (ROSAT [93], eFEDS [91]) and optically selected clusters (DES Y1 [81,89], KiDS [90]). Our analysis places the tightest constraints on σ_8 and S_8^{opt} .

the SPT cluster samples are consistent with one another, ruling out the possibility that the shift in σ_8 can be explained with changes in the cluster sample [30,37].¹² Finally, we note that neglecting the systematic uncertainties in the lensing model only has a small impact on the recovered constraints (first vs second row in Fig. 3). This implies that our constraints are still limited by the precision of the lensing measurements, rather than the uncertainties in the modeling. The statistical uncertainty will be improved in future analyses by increasing the number of lenses (larger cluster sample), and/or by using lensing datasets with higher source densities and higher lensing efficiencies.

We compare our results with other analyses of the cluster abundance and lensing mass calibration (see Fig. 6). The results from the DES Y1 cluster abundance and lensing are shifted toward lower values of Ω_m than expected from most cosmological probes [81], and are wider than the constraints we report here. The same cluster sample, cross-correlated with clusters, galaxies, and shear on large scales (DES Y1 clusters + 4x2 pt), yields constraints that largely

¹²We checked that analyzing the SPT cluster sample used in this work with the lensing data used in [7] leads to results that are centered on the gray contours in Fig. 5, whereas analyzing the 343 SPT-SZ clusters with the DES Y3 + HST-39 lensing data leads to constraints centered on the results presented in this work.

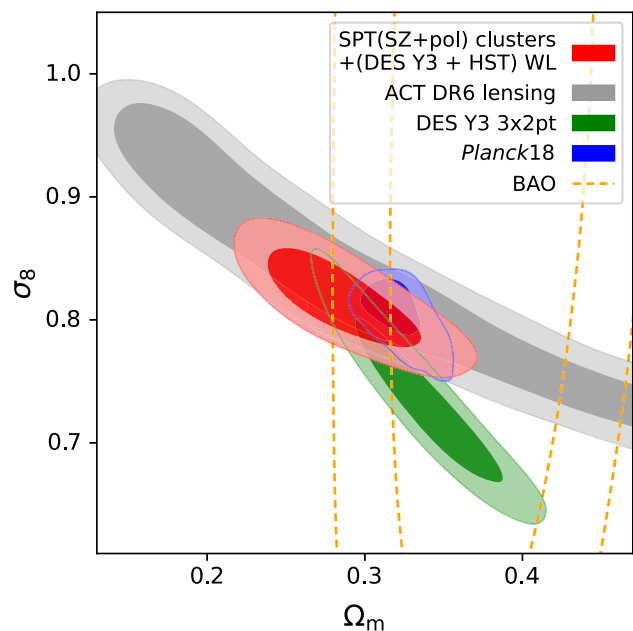


FIG. 7. Constraints on Ω_m and σ_8 (68% and 95% credible regions) in a Λ CDM universe with massive neutrinos from the abundance of SPT clusters, a selection of large-scale structure lensing probes [9,17], *Planck* primary CMB measurements (TT, TE, EE + lowE) [2], and BAO [96–99]. Our measurement of the cluster abundance places competitive constraints especially on S_8^{opt} .

overlap with ours [89]. The analyses of optically selected clusters in the KiDS survey with KiDS lensing [90], and the analysis of clusters selected in the eROSITA Science Verification data (eFEDS) with HSC lensing [91,92] both exhibit constraints that are less tightly constrained along the direction of the Ω_m - σ_8 degeneracy than our analysis, but they overlap with our results. The result obtained from ROSAT clusters with Subaru/HSC lensing “Weighing the Giants” (WtG) is almost centered on ours, but is somewhat less constraining along the width of the degeneracy [93]. We note that their constraint also includes a constraint on Ω_m through measurements of gas mass fractions f_{gas} , whereas our constraints are solely derived from the cluster abundance.

In Fig. 7, we compare our constraints in the Ω_m - σ_8 plane with those obtained from other cosmological probes. Current measurements on CMB lensing from ACT and SPT cannot break the Ω_m - σ_8 parameter degeneracy, but their degeneracy goes through our constraints [16,94,95]. Our measurement $S_8^{\text{opt}} = 0.805 \pm 0.016$ and $S_8^{\text{opt}} = 0.818 \pm 0.022$ from ACT DR6 CMB lensing alone [17] agree to within 0.5σ . As an example of joint analyses of weak lensing and galaxy clustering, we show the DES Y3 3×2 pt results [9]. They constrain $S_8^{\text{opt}} = 0.754 \pm 0.031$, which is 1.5σ lower than our measurement. In the Ω_m - σ_8 plane, the difference between their results and ours has a

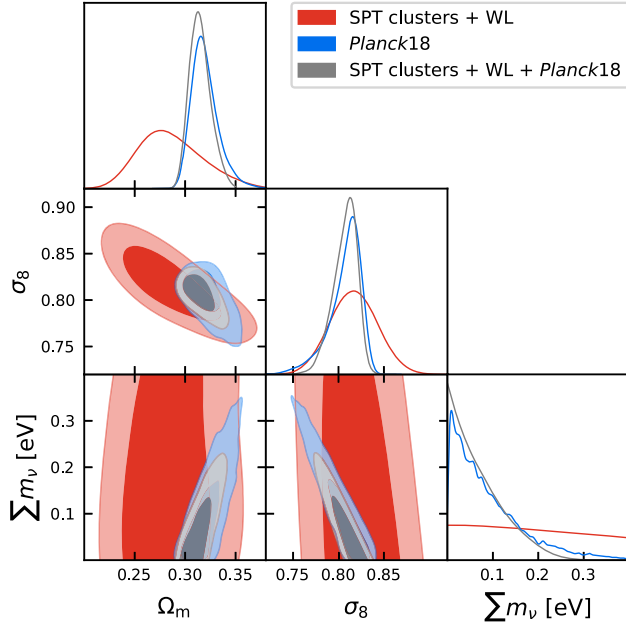


FIG. 8. Constraints (68% and 95% credible regions) on Ω_m , σ_8 , and on the sum of neutrino masses $\sum m_\nu$ from the abundance of SPT clusters and its combination with *Planck* primary CMB anisotropies (TT, TE, EE + lowE) [2]. The joint analysis places an upper limit $\sum m_\nu < 0.18$ eV (95% limit).

probability to exceed of 0.25 (1.1σ).¹³ Finally, *Planck* 2018 TT, TE, EE + lowE data (without lensing) constrain $S_8^{\text{opt}} = 0.820 \pm 0.016$ [2], which differs from our measurement by 0.7σ , and with an uncertainty that is equal to ours. In the Ω_m – σ_8 plane, the difference is essentially identical (probability to exceed of 0.47, 0.7σ). For the S_8 parameter, the difference is 1.1σ , which does not strengthen the claim of an “ S_8 tension” between large-scale structure measurements at late times and the primary CMB. We also show constraints from baryonic acoustic oscillations (BAO), which constrain a combination of Ω_m and H_0 , but are unable to constrain σ_8 .¹⁴

We proceed and combine our cluster abundance measurements with primary CMB anisotropies (*Planck* 2018 TT, TE, EE + lowE). As shown in Fig. 8, the *Planck* analysis exhibits a well-known degeneracy between the sum of neutrino masses $\sum m_\nu$, Ω_m , and σ_8 . Conversely, the cluster abundance—like other probes of the large-scale structure—constrains σ_8 essentially independently of $\sum m_\nu$. The combined analysis therefore leads to improved constraints by breaking that degeneracy. Our measurement of σ_8 fully encompasses the *Planck* measurement, and the

¹³We compute the probability to exceed using <https://github.com/SebastianBocquet/PosteriorAgreement> (see Sec. V.2 in [56]).

¹⁴Specifically, we analyze BAOs as measured using the 6dFGS [96], SDSS DR7 Main Galaxy Sample [MGS, [97]], BOSS DR12 luminous red galaxies [LRGs, [98]], and eBOSS DR16 LRGs [99] datasets.

TABLE III. Constraints on the parameters of the observable-mass relations (mean and 68% credible interval or 95% limit). We do not show the prior-dominated parameters of the M_{WL} – M_{halo} relation. Note that the constraints on the correlation coefficients are heavily affected by the prior $\mathcal{U}(-0.5, 0.5)$.

Parameter	SPTclusters+ lensing	SPTclusters+ lensing + Planck
SZ parameters		
$\ln A_{\text{SZ}}$	0.72 ± 0.09	0.69 ± 0.06
B_{SZ}	1.69 ± 0.06	1.73 ± 0.04
C_{SZ}	0.50 ± 0.27	0.74 ± 0.11
$\sigma_{\ln \zeta}$	0.20 ± 0.05	0.21 ± 0.05
γ_{ECS}	1.05 ± 0.03	1.05 ± 0.03
DES richness parameters (used for $z < 1.1$)		
$\ln A_\lambda$	3.74 ± 0.07	3.73 ± 0.05
B_λ	1.22 ± 0.06	1.25 ± 0.04
C_λ	-0.03 ± 0.22	0.15 ± 0.12
$\sigma_{\ln \bar{\lambda}}$	0.18 ± 0.03	0.18 ± 0.04
WISE richness parameters (used for $z > 1.1$)		
$\ln A_\lambda$	4.33 ± 0.20	4.33 ± 0.21
B_λ	0.93 ± 0.09	0.96 ± 0.09
C_λ	-2.1 ± 0.6	-2.0 ± 0.6
$\sigma_{\ln \bar{\lambda}}$	0.12 ± 0.04	0.12 ± 0.05
Correlation coefficients		
$\rho_{\text{SZ,WL}}$	< 0.17	< 0.17
$\rho_{\text{SZ},\bar{\lambda}}$	< 0.03	< 0.08
$\rho_{\text{WL},\bar{\lambda}}$	-0.09 ± 0.24	-0.10 ± 0.24

cluster measurements only help to exclude the high- $\sum m_\nu$ tail. We report an improved constraint on the upper limit,

$$\sum m_\nu < 0.18 \text{ eV} \quad (95\% \text{ limit}), \quad (12)$$

compared to $\sum m_\nu < 0.26$ eV from *Planck* data alone. The constraints on the other cosmological parameters only improve mildly (see Table II).

B. Constraints on the observable-mass relations

In our analysis, we simultaneously calibrate the observable-mass relations while fitting for cosmology. In Table III, we present the recovered constraints on the parameters of the relations defined in Eqs. (3) and (5). In the Appendix, we show marginalized parameter constraints for all scaling relation and cosmological parameters that are not prior-dominated.

1. The SZ-mass relation

The SZ parameter constraints are similar to, but tighter than the ones presented in the precursor SPT cluster analysis based on targeted lensing observations [7], with

the exception of the amplitude A_{SZ} .¹⁵ Our new amplitude is somewhat lower than the old result, which also explains the shift toward larger σ_8 seen in Fig. 5 (a lower A_{SZ} implies that the observed clusters are more massive). As discussed in Sec. VA, this shift is caused by the updated weak-lensing mass calibration using DES Y3 data. Finally, our constraint on γ_{ECS} , the relative depth of the SPTpol ECS survey, is centered on the value obtained from an SZ-richness scaling relation analysis (see Sec. VI.1.3 in [30]), but we recover a tighter constraint, most likely due to simultaneously analyzing the cluster abundance and multiobservable scaling relations.

2. The richness-mass relation

Throughout this work, we use richness measurements as computed by MCMF (see Sec. II). At low cluster redshift ($z_{\text{cluster}} < 1.1$), where our richness measurements are based on DES data, the recovered richness-mass relation implies that a halo of mass $M_{200c} = 3 \times 10^{14} h^{-1} M_{\odot}$ has a typical richness of 40. We find a power-law dependence with mass that is steeper than unity at $>3\sigma$, and a dependence with redshift that is consistent with no evolution at $<1\sigma$. The intrinsic scatter in $\ln \tilde{\lambda}$ is small (0.18 ± 0.03), but note that the relation between observed richness λ and mass contains an additional log-normal scatter of width $\tilde{\lambda}^{-1/2}$ [see Eq. (6)].¹⁶

The parameters of the mean richness-mass relation at high redshift $z > 1.1$, where we use WISE data, do not quite match those of the low-redshift richness-mass relation. This is not entirely surprising, given how challenging it is to measure richness at these high redshifts and with the modest angular resolution of WISE. Interestingly though, the intrinsic scatter is smaller than for DES-based richness at 1.5σ . The mass slope is consistent with unity within 1σ , whereas the preferred redshift evolution is negative at the $\sim 3\sigma$ level. The significant difference between the richness measurements from the two observatories motivates the separate empirical calibration of each relation, as performed in this work.

We discuss our low-redshift richness-mass relation in the light of existing DES results. These results are based on richness measurements using redMaPPer [100], whereas our richness measurements are based on MCMF, with median $(\lambda_{\text{MCMF}}/\lambda_{\text{redMaPPer}}) = 1.09$ and 24% scatter [101]. The redMaPPer richness-mass relation was determined by cross-matching with SPT-confirmed clusters, and calibrating the SZ-mass relation assuming a fixed fiducial cosmology [30,102], and through an analysis of the DES Y1 cluster abundance with mass calibration enabled by

cross-matching with SPT clusters, and using lensing data for SPT clusters [103]. Qualitatively, our richness-mass relation behaves as in these analyses, with residual differences being explained by the different richness measurements and by the fact that in this work, we calibrate the observable-mass relations using different lensing data (that is, DES Y3). The DES Y1 weak-lensing based calibration of the redMaPPer richness-mass relation [104] was shown to yield a significantly shallower mass slope ($B_{\lambda} \approx 1/1.356 = 0.74$) than the SPT-based analyses (e.g., $B_{\lambda} = 1.020 \pm 0.080$ in [30]). Within the limits of comparability, it appears that our constraint on the mass slope is in significant tension with the DES Y1 lensing result [104].

3. The correlation coefficients of intrinsic scatter

The constraints on the correlation coefficients (bottom rows in Table III) are heavily affected by the uniform priors $\rho \sim \mathcal{U}(-0.5, 0.5)$ that we apply to make the covariance matrix nonsingular. Note that as part of the blinding tests, we investigated the impact of fixing a set of fit parameters. This included setting the correlation coefficients to 0. The recovered scaling relation and cosmology constraints did not exhibit any significant shifts compared to the full analysis (see Fig. 3). We therefore conclude that the exact range of the priors that we apply to the correlation coefficients does not have a significant impact on the recovered constraints on other parameters.

The posterior distributions of the two correlation coefficients that involve the SZ observable ($\rho_{\text{SZ},\tilde{\lambda}}$ and $\rho_{\text{SZ,WL}}$) both peak at the lower bound $\rho = -0.5$ and we present the 95% upper limits in Table III. A negative correlation coefficient $\rho_{\text{SZ},\tilde{\lambda}} < 0$ is also found in the analysis of the LoCuSS cluster sample [105], whereas simulation works tend to favor positive correlation coefficients between the SZ signal and other observables, e.g., [58,106,107]. Negative correlation coefficients would imply that cluster triaxiality—which causes positive correlation—is not driving the joint scatter. Our constraint on the correlation coefficient between lensing and richness ($\rho_{\text{WL},\tilde{\lambda}}$) peaks within the prior range, but the quoted constraints are also strongly affected by the prior.

In our hierarchical modeling of the cluster population, the correlation coefficients are degenerate with other parameters of the observable-mass relations. Within our approach of empirical mass calibration, we do not expect strong constraints on the correlated scatter from current datasets (see also Sec. IV.1 [108]).

4. Observable-mass relations from joint analysis with Planck primary CMB

In the last column in Table III, we show the constraints obtained from the joint analysis of the cluster dataset and *Planck* primary CMB anisotropies. Some parameters

¹⁵Note that we are using M_{200c} in this work, as opposed to M_{500c} in [7]. Therefore, the recovered constraints on A_{SZ} can only be compared after converting between the two mass definitions.

¹⁶For intrinsic richness $\lambda < 31$, the ‘‘Poisson’’ part of the uncertainty dominates over the intrinsic scatter.

$(B_{\text{SZ}}, C_{\text{SZ}}, C_{\lambda, \text{DES}})$ shift within 1σ while most remain essentially unchanged. This is explained by the fact that our cluster-based cosmology constraints overlap with the *Planck* results (see Fig. 7). Therefore, their combination does not pull the parameters away from their mean in the clusters-only analysis. The uncertainties on most scaling relation parameters only tighten mildly when adding *Planck* CMB data. However, there is a noticeable improvement on the constraints on the redshift evolutions C_{SZ} and $C_{\lambda, \text{DES}}$. These parameters are degenerate with Ω_m (see Fig. 11), and the uncertainty on that parameter significantly tightens when adding *Planck* CMB data, as shown in Table II and Fig. 8.

C. w CDM with massive neutrinos

We consider an extension of the Λ CDM model by opening up the dark energy equation of state parameter w as an additional degree of freedom. As before, we also marginalize over the sum of neutrino masses. The constraints are summarized in Table II and shown in Fig. 9. Considering only the cluster dataset, we note that the constraints on Ω_m and σ_8 are very similar to those obtained assuming the Λ CDM model. We obtain $w = -1.45 \pm 0.31$, a constraint that is more negative than that corresponding to a cosmological constant, with a probability to exceed of 0.10 (1.7σ) (note that the posterior distribution is affected by the hard cut $w > -2$).¹⁷ We point out that the uncertainty is comparable to the DES Y3 $3 \times 2\text{pt}$ result ($w = -0.98^{+0.32}_{-0.20}$), underscoring the constraining power of cluster abundance measurements. Figure 9 also shows wide degeneracies between Ω_m , σ_8 , and w in the analysis of *Planck* primary CMB data. In combination with the cluster dataset, some of these degeneracies can be broken, and the constraints are tightened.¹⁸ The joint constraints are qualitatively similar to those obtained from the cluster dataset alone, except for w , for which the uncertainty reduces significantly. The mean recovered value for w is less negative for the joint analysis, but the reduced uncertainty leads to a larger statistical difference with a cosmological constant (probability to exceed of 0.03, 2.2σ) than for the clusters-only analysis.

Overall, the recovered constraints on w are still quite weak. As expected, w exhibits a rather narrow degeneracy with the parameter of the SZ redshift evolution C_{SZ} (see Fig. 11), which is only poorly constrained with the current weak-lensing data. In w CDM, we observe a shift to larger values ($C_{\text{SZ}} = 1.00 \pm 0.32$), and away from its preferred value in Λ CDM ($C_{\text{SZ}} = 0.50 \pm 0.27$). More powerful

¹⁷Because the posterior distributions we recover for w do not appear to be well-approximated by Gaussian distributions we compute the probability to exceed instead of applying Gaussian statistics.

¹⁸Note that the sum of neutrino masses $\sum m_\nu$ remains poorly constrained in w CDM even in the joint analysis.

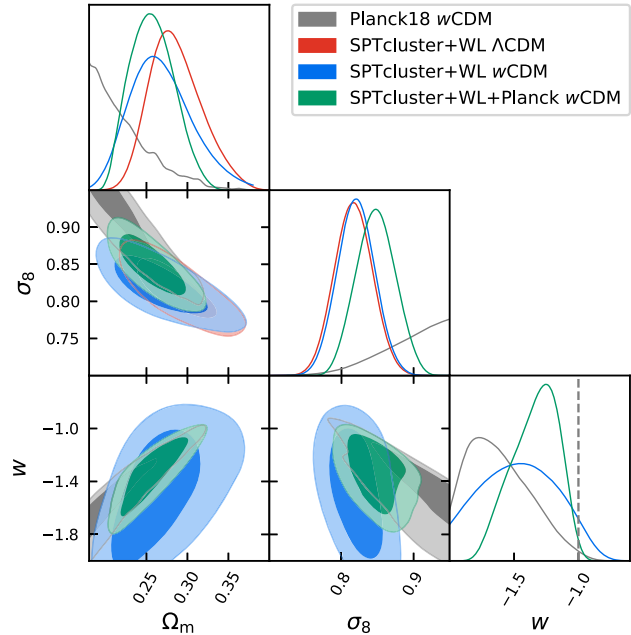


FIG. 9. Constraints on Ω_m , σ_8 , and the dark energy equation of state parameter w (68% and 95% credible regions) in a universe with massive neutrinos. We show results obtained from the analysis of the SPT cluster abundance, *Planck* primary CMB anisotropies, and their combination. The cluster dataset prefers a value that is more negative than a cosmological constant $w = -1$ at the 1.7σ level. Note that the constraints on Ω_m and σ_8 are only mildly affected by opening up w as an additional degree of freedom.

lensing data, especially at intermediate and high cluster redshifts, or a tight informative prior on the evolution of the ICM with redshift (as applied in the WtG analysis [93]) would enable tighter constraints on C_{SZ} , and thus on w . Alternatively, a measurement of the gas fraction f_{gas} (as also applied in the WtG analysis) would constrain Ω_m independently, and through the Ω_m – w parameter degeneracy, reduce the uncertainty on w .

D. Modified growth of structure: $\sigma_8(z)$

We consider a second, and final, extension of the Λ CDM model, in which we allow the rate of structure growth to depart from the standard prediction. We do so by directly measuring σ_8 at different redshifts. Because the SPT cluster sample spans a wide range of redshifts, this exercise allows us to map the growth of cosmic structures since the Universe was about 3 billion years old.

We define five bins in redshift such that each bin contains an equal number of clusters. The six bin edges are $z \in \{0.25, 0.42, 0.57, 0.71, 0.93, 1.81\}$. Then, we modify the computation of the matter power spectrum such that σ_8 at each bin center is normalized to one of five new fit parameters $\sigma_8(z_i)$ (see Table IV). The observable-mass relations and the lensing data are treated the same as in the rest of this work, and we also marginalize over the sum of

TABLE IV. Constraints on Ω_m and $\sigma_8(z)$ for a Λ CDM cosmology with massive neutrinos (mean and 68% credible interval). Using the abundance of SPT clusters with DES Y3 + HST weak-lensing mass calibration, and a prior on the sound horizon at recombination θ_* from *Planck*, we constrain σ_8 at discrete redshifts. We also quote $\sigma_8(z)$ for Λ CDM and parameters from *Planck* 2018 TT, TE, EE + lowE.

Parameter	SPT cluster abundance + θ_*	<i>Planck</i>
Ω_m	0.320 ± 0.029	0.321 ± 0.015
$\sigma_8(z = 0.34)$	0.670 ± 0.026	0.676 ± 0.015
$\sigma_8(z = 0.49)$	0.599 ± 0.022	0.625 ± 0.014
$\sigma_8(z = 0.64)$	0.603 ± 0.023	0.580 ± 0.013
$\sigma_8(z = 0.82)$	0.524 ± 0.020	0.535 ± 0.013
$\sigma_8(z = 1.35)$	0.406 ± 0.017	0.425 ± 0.010

neutrino masses. When analyzing the cluster dataset in this way, the recovered constraint on Ω_m degrades significantly compared to the Λ CDM or w CDM analyses.¹⁹ Therefore, we consider an additional constraint on the matter density through the measurement of the sound horizon at recombination $100\theta_* = 1.04109 \pm 0.00030$ by *Planck* [2].²⁰

We summarize our results in Fig. 10. The gray band shows the 68% credible interval of σ_8 as a function of redshift, assuming the Λ CDM model with massive neutrinos, and parameters as measured by *Planck* primary CMB anisotropies. Red data points show direct measurements of σ_8 at five redshifts, as probed by the cluster abundance in combination with the θ_* prior. Note that the θ_* prior represents a subset of the information contained in the *Planck* CMB data, and we are thus comparing growth measurements for similar background cosmologies (thereby avoiding regimes where differences in $\sigma_8(z)$ could be caused by differences in Ω_m instead of differences in structure growth). Blue data points are obtained from a cross-correlation analysis of DESI LRGs and *Planck* CMB lensing, with θ_* fixed [109].²¹ Brown data points show the constraint from DES Y3 galaxy clustering and lensing (3×2 pt) and shear ratios [110].

Our measurements of $\sigma_8(z)$ presented in Table IV result in a difference with the Λ CDM prediction with *Planck* parameters of $\chi^2 = 2.8$ for five parameters, which indicates good agreement. However, the recovered parameter constraints are degenerate [the correlation coefficients between the individual $\sigma_8(z_i)$ are all >0.76], and taking these

¹⁹The cluster abundance constrains a combination of Ω_m and σ_8 . Because the two parameters are degenerate, allowing more freedom for σ_8 weakens the constraint on Ω_m .

²⁰In combination with our priors on the Hubble parameter and the baryon density $\Omega_b h^2$, the measurement of θ_* enables a relatively loose constraint $\Omega_m = 0.295 \pm 0.065$.

²¹Note that these measurements appear to be biased low due to degeneracies and parameter volume effects, as described in the original publication.

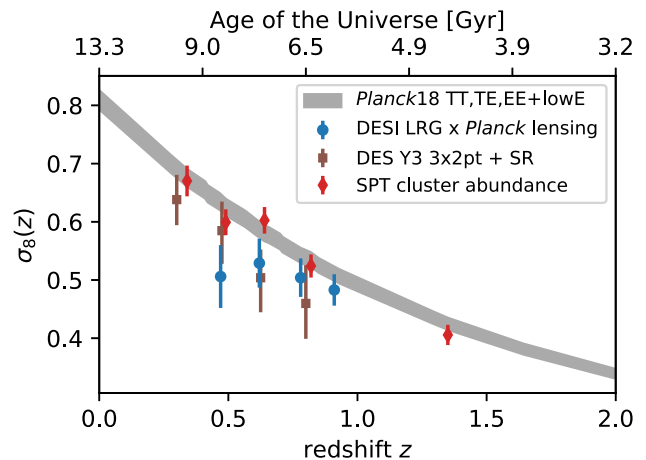


FIG. 10. Evolution of σ_8 with time. Red diamonds show constraints from the SPT cluster abundance (mean and 68% credible interval). Blue dots are obtained from the cross-correlation of DESI LRGs and *Planck* CMB lensing [109]. Both analyses include a *Planck*-based prior on the sound horizon at recombination θ_* , with the value of θ_* fixed to the mean *Planck* value for DESI \times *Planck*, and marginalized over the *Planck* posterior on θ_* for SPT clusters. Brown squares show the constraints from DES Y3 3×2 pt + shear ratios [110]. The gray band shows the 68% credible interval of the Λ CDM prediction, assuming parameters determined by *Planck*. Our measurement of the growth of structure is consistent with that prediction.

parameter correlations into account increases the χ^2 value. We anticipate that a more precise determination of the observable-mass relations, enabled by future lensing dataset, will reduce the level of correlation among the measured $\sigma_8(z_i)$ and will therefore enable more robust tests of the evolution of growth of structure.

VI. SUMMARY

In this work, we present cosmological constraints derived from the abundance of galaxy clusters selected in the SPT-SZ and SPTpol surveys [30,32,36,37], and a simultaneous weak-lensing based mass calibration using DES Y3 and HST data. The cluster sample (1005 confirmed clusters above $z > 0.25$), the analysis of DES weak-lensing data for 688 sample clusters, the Bayesian analysis framework, and the validation of the analysis pipeline are presented in companion Paper I. The HST lensing measurements for 39 SPT clusters and their analysis were presented in earlier works [34,50–53].

In a first phase of this work, we performed a blinded analysis in which we artificially offset the parameter constraints from their true output values by unknown *blinding offset parameters* (see Sec. IV). This allowed us to perform a series of robustness tests with which we investigated the relative impact of alternative analysis setups on the results without knowing the actual parameter values. These tests included various cuts in the cluster

sample (excluding high-redshift and/or low-mass objects), details of the modeling of the SPT observable, and three alternative analyses of the DES Y3 weak-lensing data. Since none of these alternative analyses resulted in constraints that were significantly offset from the fiducial ones, we unblinded the results. We did not further modify the data or the analysis, and we present the results as obtained after unblinding.

Assuming a flat Λ CDM cosmology with massive neutrinos, we find that our constraints are consistent with, but tighter than, results obtained from previous weak-lensing calibrated cluster abundance measurements (see Fig. 6 and Table II). Our constraints are competitive with other leading probes of the large-scale structure such as cosmic shear, joint 3×2 pt analyses, and the CMB lensing power spectrum. Our dataset best constrains the parameter combination $\sigma_8(\Omega_m/0.3)^{0.25} = 0.805 \pm 0.016$, with an uncertainty that is equal to the one recovered from *Planck* 2018 TT, TE, EE + lowE data. The difference between our constraint on $S_8 \equiv \sigma_8 \sqrt{\Omega_m/0.3}$ and the one from *Planck* is 1.1σ , which does not strengthen the claim of an S_8 tension. The difference in the Ω_m - σ_8 plane has a probability to exceed of 0.47 (0.7σ). The combination with the *Planck* dataset further tightens parameter constraints, and we place a 95% upper limit on the sum of neutrino masses $\sum m_\nu < 0.18$ eV.

Additionally, allowing the dark energy equation of state parameter w to vary does not change the recovered constraints on Ω_m and σ_8 in any significant way. We recover $w = -1.45 \pm 0.31$. The analysis of *Planck* primary CMB data exhibits a degeneracy between w and Ω_m , σ_8 , and $\sum m_\nu$, which is broken in a joint analysis with our cluster dataset. The resulting constraint on w is still low, and we report $w = -1.34_{-0.15}^{+0.22}$, which differs from a cosmological constant by 2.2σ .

Finally, we consider a phenomenological extension of the Λ CDM model, in which we directly constrain the growth of structure. Using the cluster dataset and a prior on the sound horizon at recombination θ_* , we constrain σ_8 at five discrete redshifts between 0.34 and 1.35. Our measurements of $\sigma_8(z)$ are consistent with the Λ CDM prediction with *Planck* 2018 TT, TE, EE + lowE parameters (see Fig. 10 and Table IV), but we note that our individual measurements are highly correlated.

A distinctive feature of this analysis is that, for the first time, we leverage the exquisite quality of weak-lensing data as provided by wide-field galaxy lensing surveys to perform a robust and precise weak-lensing informed measurement of the abundance of SZ-selected clusters. In future work, we will use our cluster and lensing dataset to calibrate other cluster observables (x-rays, dynamics of cluster member galaxies) and to investigate further extensions of the Λ CDM model, such as dark matter-dark radiation interactions and modified gravity models. We also note that our analysis is the first cluster cosmology

work that uses DES Y3 lensing data. This lensing dataset is also being used for mass calibration of redMaPPer-selected clusters in the DES Y3 data and for mass calibration of further ICM-selected cluster samples. Therefore, the DES lensing data play an important role in enabling cosmological constraints from the abundance of galaxy clusters.

Our measurements are still limited by size of the cluster sample, and by shape noise in the lensing measurements. Therefore, increasing the cluster sample (thereby also increasing the number of lenses), increasing the size (and depth) of the lensing source sample, and the additional analysis of cluster lensing of the CMB e.g., [111–113], will lead to improved cosmological constraints [114]. However, our analysis also highlights areas that deserve further attention (e.g., low-significance clusters, cluster member contamination, miscentering). While the relative importance of these effects is currently at the $\lesssim 1\sigma$ level (see Fig. 3), more work is needed to ensure that these do not become limiting factors in future analyses. Opportunities for near-term improvements are numerous, with large ICM-selected cluster samples from the Atacama Cosmology Telescope [115], eROSITA [116], the SPT-3G survey [117], and the upcoming Simons Observatory [118]. These cluster samples will be analyzed jointly with a weak-lensing mass calibration using DES Year 6 data, soon to be followed by galaxy and lensing data from Euclid [119] and the Legacy Survey of Space and Time (LSST) [120] at the Vera C. Rubin Observatory, whose combination is expected to be particularly powerful for extending sensitive cluster mass calibrations to higher redshifts [121]. Another promising avenue is to additionally consider the cluster 2-pt correlation function (and cluster-galaxy 2-pt), which further boosts the constraining power [89,122]. Finally, the CMB-S4 survey will improve upon the aforementioned mm-wave surveys, and will extend analyses of SZ-selected cluster samples to well into the 2030s [123].

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APPENDIX: FULL PARAMETER POSTERIOR DISTRIBUTIONS

In Fig. 11, we show the marginalized one- and two-dimensional parameter constraints obtained in the Λ CDM and w CDM analyses. We show all parameters that are not strongly prior-dominated. We highlight the joint constraint

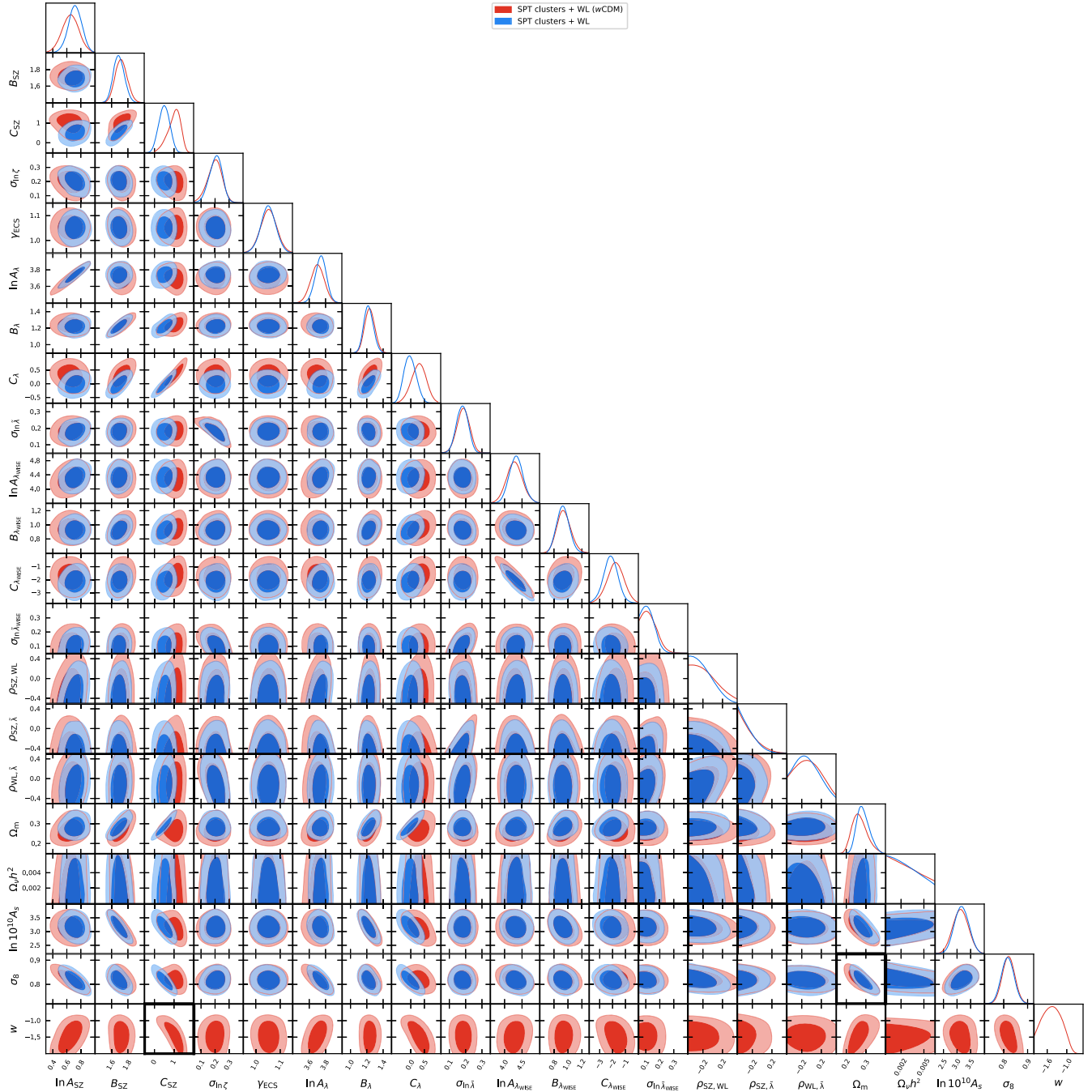


FIG. 11. Parameter constraints on all parameters that are not strongly prior-dominated in the Λ CDM and w CDM analyses (68% and 95% credible regions). Between the two analyses, only the constraints on the redshift evolution parameters of the observable-mass relations C_{SZ} and C_λ shift by an appreciable amount. Conversely, the joint constraints on Ω_m and σ_8 are very similar (highlighted toward the bottom-right of the plot). The constraint on w shows some significant degeneracies with other parameters, and in particular with the redshift evolution parameters C_{SZ} (highlighted at the bottom-left of the plot) of the SZ-mass relation, and C_λ of the richness-mass relation.

on Ω_m and σ_8 , which is quite robust to the extension with w as a free parameter. We also highlight the degeneracy between C_{SZ} and w , which implies that a tighter constraint

on the redshift evolution of the observable-mass relation would enable tighter constraints on the dark energy equation of state.

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