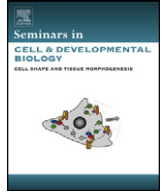




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## Review

# Development and evolution of insect pigmentation: Genetic mechanisms and the potential consequences of pleiotropy

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## ABSTRACT

Insect pigmentation is a premier model system in evolutionary and developmental biology. It has been at the heart of classical studies as well as recent breakthroughs. In insects, pigments are produced by epidermal cells through a developmental process that includes pigment patterning and synthesis. Many aspects of this process also impact other phenotypes, including behavior and immunity. This review discusses recent work on the development and evolution of insect pigmentation, with a focus on pleiotropy and its effects on color pattern diversification.

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## Contents

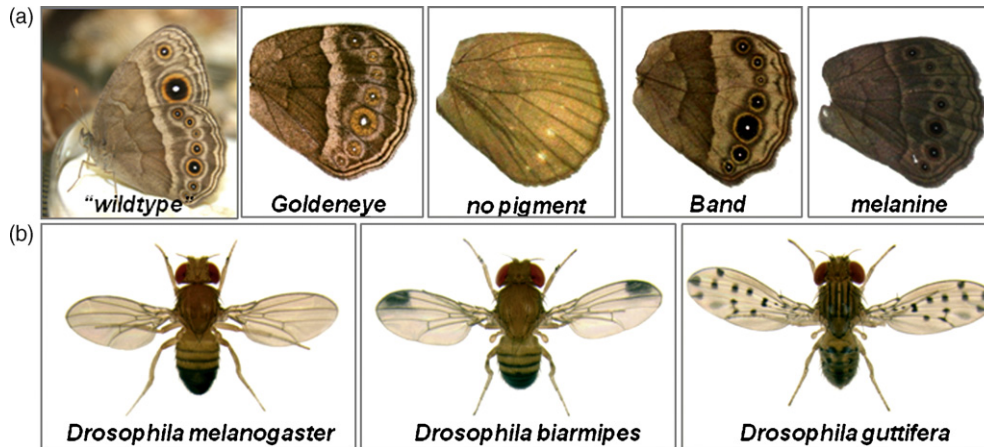
1. Introduction .....	00
2. Pigmentation development in insects .....	00
2.1. Patterning genes .....	00
2.2. Pigment production .....	00
3. Evolution of pigmentation development .....	00
3.1. Lessons from Drosophila .....	00
3.2. Beyond Drosophila .....	00
3.3. Diversity within a developmental pathway .....	00
4. Pigmentation and pleiotropy .....	00
4.1. Pigmentation and behavior .....	00
4.2. Pigmentation and immunity .....	00
4.3. Pigmentation and other traits .....	00
5. Potential consequences of pleiotropy for evolutionary diversification .....	00
6. The promise of pigmentation .....	00
Acknowledgements .....	00
References .....	00

## 1. Introduction

The extraordinary diversity of insect colors and color patterns has long fascinated biologists and laymen alike. It inspires collectors, students and the general public, and it is a powerful tool for

stimulating interest in science education. For specialists, studies of insect pigmentation have provided insight into diverse branches of biology, including ecology, development, genetics, and physiology. More recently, studies of insect pigmentation have taken center stage in the young field of evolutionary developmental biology, contributing to emerging principles such as the co-option of shared genetic circuitry for the evolution of novel traits (examples in butterfly wing patterns [1–3]) and the prevalence of cis-regulatory evolution in morphological diversification (examples in *Drosophila* pigmentation [4–7]).

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**Fig. 1.** Variable pigmentation in lab-tractable systems. Examples of intraspecific and interspecific variation in two insect orders are shown. (a) Variable wing color in spontaneous laboratory mutants of *Bicyclus anynana* butterflies (photos courtesy of Suzanne Saenko). Note the changes in both pigment colors and locations. (b) Interspecific diversity in *Drosophila* pigmentation (photos courtesy of Nicolas Gompel and Benjamin Prud'homme). Note the differences in wing, thorax, and abdominal pigmentation among species.

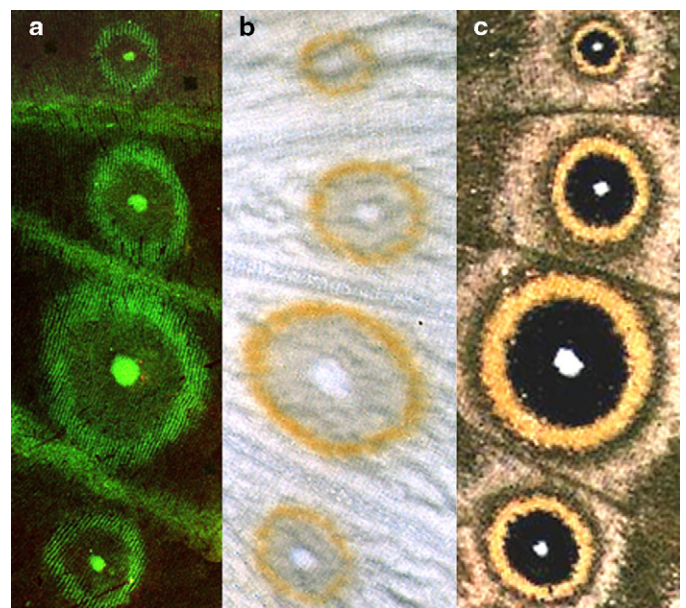
Insect pigmentation is a highly variable trait, with spectacular differences between species, between populations of the same species, and between individuals within a population. Pigmentation also varies between life stages of single individuals and between body parts of individual life stages. Diversity exists in terms of which colors are used as well as how these colors are arranged into patterns. The ecological pressures that promote this diversity are themselves diverse, with no single adaptive role explaining variation in all species. Pigmentation functions in visual communication, either between members of the same species (e.g. intraspecific recognition and mate choice) or different species (e.g. mimicry, aposematism, deflection, and camouflage used to avoid predation), and in physiological processes such as thermoregulation, photo-protection, and desiccation resistance (examples in [8]).

The combination of phenotypic diversity and laboratory mutants (Fig. 1), adaptive roles in ecology and evolution, and a good understanding of genetics and development make insect pigmentation well-suited for investigating the reciprocal interactions between evolutionary and developmental processes that shape phenotypic variation. Furthermore, the links between pigmentation and other phenotypes provide an opportunity to examine the genetic mechanisms and evolutionary consequences of pleiotropy. Here, we (1) review the mechanisms of pigmentation development in insects, (2) illustrate how development differs within and between species, (3) highlight features of pigmentation development that provide opportunities for pleiotropy, and (4) discuss how pleiotropy may influence the evolution of pigmentation and/or other traits. We close by highlighting some future directions for studies of insect pigmentation in evolution and development.

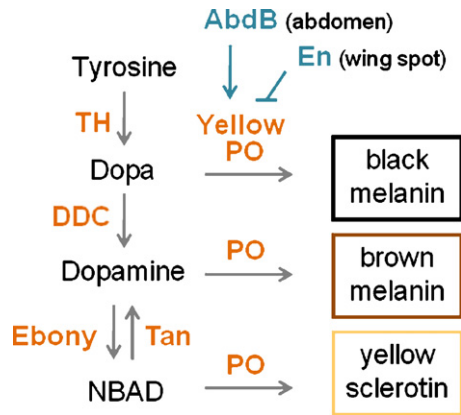
## 2. Pigmentation development in insects

Unlike vertebrates, who use specialized cell types and cell migration to create body pigment patterns (reviewed in [9]), insects typically synthesize body color pigments and/or pigment precursors in their epidermal cells. In some cases, pigments are found within modified epidermal cells (e.g. scales on butterfly wings [10]), but typically these molecules are incorporated into the hard exoskeleton overlying the epidermal cells through a process known as sclerotization [11]. Thus, insect body color is not simply painting on a wall; but rather, it is like the color in true frescos—an integral part of the wall itself. (Note that this does not apply to eye pigmentation, where pigments are localized to a specific cell type.)

Among insects, pigmentation development is best understood in *D. melanogaster*. Pigmentation is one of few adult traits in this species for which regulatory genes affecting developmental patterning, genes ultimately producing the adult trait, and biochemical links between them are known in detail. Our discussion of pigmentation development and evolution exploits this knowledge, dividing the pigmentation process into two stages (Fig. 2): (1) positioning pigments in space and time and (2) the biochemical synthesis of pigments. Genes involved in these two steps will be referred to as “patterning” and “effector” genes, respectively. Patterning genes regulate the distribution of pigments by directly or indirectly activating expression of effector genes that encode the enzymes and co-factors required for pigment biosynthesis.



**Fig. 2.** Stages of pigmentation development. During the formation of eyespots on the hindwing of *Bicyclus anynana* butterflies, Engrailed protein (green) is found in early pupal scale-forming cells ((a) photo by Suzanne Saenko). These cells will later synthesize the yellow pigment ((b) photo by Nicolien Pul) that makes up the golden ring of adult eyespots (c). After “patterning” genes such as Engrailed specify the location of pigments, “effector” genes, which encode the enzymes responsible for pigment production, determine the nature and quantity of pigments produced.



**Fig. 3.** Melanin synthesis in *Drosophila*. Central components of the melanin synthesis pathway are shown, with enzymes indicated in orange and pigments as well as pigment precursors indicated in black. Different cells express different components of this pathway, and thus produce different pigments in the overlaying adult cuticle (more details in [58]). Shown in blue are two examples of direct regulators controlling expression of the *yellow* gene. The Engrailed (En) protein binds to a *cis*-regulatory sequence controlling expression in the wing “spot” of *D. biarmipes*, repressing its expression [4]; and the Abdominal-B protein (AbdB) binds to a *cis*-regulatory sequence controlling male-specific pigmentation in the *D. melanogaster* abdomen, activating its expression [98] (TH, Tyrosine Hydroxylase, DDC, Dopamine decarboxylase, PO, phenoloxidases, NBAD, N-beta-alanyl-dopamine).

### 2.1. Patterning genes

In *D. melanogaster*, pigment patterning is controlled by pleiotropic regulatory proteins, including sex determination genes (e.g. *doublesex*), HOX genes (e.g. *Abdominal-B*), signaling pathways (e.g. *wingless* and *decapentaplegic*), and selector genes (e.g. *optomotor-blind*, *bric-a-brac* and *engrailed*) (reviewed in [12]). These factors regulate pigmentation as well as multiple other traits. Additional pleiotropic transcription factors are required for the development of pigmentation in other insect lineages. For example, the *Ultrabithorax* gene, required for haltere specification in dipterans, discriminates between forewing and hindwing pigmentation in butterflies [13], and the *Distal-less* gene, required for insect appendage development, specifies butterfly wing color pattern elements [14]. Such reuse of regulatory proteins for diverse functions is a general theme in development and evolution [15].

### 2.2. Pigment production

Effector genes compose multiple pigment biosynthesis pathways, with each pathway producing a distinct class of pigments. These genes are less pleiotropic than the transcriptional regulators controlling patterning, although they still affect multiple phenotypes (see Section 4). Melanins, a predominant class of insect pigments, are synthesized by a branched biochemical pathway that converts phenylalanine into tyrosine and then polymerizes modified molecules such as dopa, dopamine, and N-beta-alanyl-dopamine into black, brown, and yellow pigments (Fig. 3). Spatiotemporally regulated expression of the *yellow*, *tan* and *ebony* effector genes determines the location and relative abundance of these three pigments [5,16]. The biochemical pathway leading to the production of ommochromes (red, brown, and yellow pigments) includes the *cinnabar*, *vermillion*, and *white* genes and is also widely used among insects. Those pigments are synthesized from tryptophan and packaged into cytosolic pigment granules. They are limited to eye color in *Drosophila*, but contribute to wing pigmentation in other species [17,18]. Similarly, pteridines

(red, yellow, orange), produced by a pathway including the *rosy* and *purple* genes, are also restricted to eye color in *Drosophila* yet play additional roles in other insects [19]. Other pigments found in insects include carotenoids (orange-yellow) and flavonoids (bluish hues), which are extracted from food and used with little modification, and more specialized pigments such as anthraquinones (violet, blue, green) in Coccidae, aphins (purplish-red) in Aphididae, and papiliochromes in some butterflies [20,21]. Links between pigment biosynthesis pathways have also been reported [21,22].

## 3. Evolution of pigmentation development

Pigmentation diversity indicates that mechanisms of pigmentation development are not static, but rather vary among and within species. Genetic analysis of these differences provides some of the most detailed case studies of evolutionary change. These studies also provide a rare opportunity to investigate how intraspecific variation and interspecific divergence are structured within a developmental pathway. Below, we review recent studies examining the genetic basis of pigmentation differences.

### 3.1. Lessons from *Drosophila*

The most detailed evolutionary genetic work examines pigmentation differences within and among *Drosophila* species. Within *D. melanogaster*, genetic mapping suggests that functional variants affecting pigmentation lie within both transcriptional regulators (*bric-a-brac* [23], *optomotor-blind* [24]) and pigment synthesis genes (*ebony* [25]). Between *Drosophila* species, genetic mapping suggests functional divergence at loci encoding pigment synthesis effector genes (*ebony* [26], *tan* [5,27]), although these studies do not exclude a role for patterning genes. Both patterning (*bric-a-brac* [28]) and effector (*yellow*[29], *ebony* [26], *tan* [5]) genes have expression differences that correlate with pigmentation divergence between species. For three of these four genes (*bric-a-brac* [7], *yellow* [4], and *tan* [5]), functional *cis*-regulatory changes have been identified, with changes in *bric-a-brac* and *yellow* shown to alter binding sites for highly pleiotropic transcriptional regulators (*Doublesex* [7], *Abdominal-B* [5,7], and *Engrailed* [4]). For *ebony*, *cis*-regulatory changes have not been definitively proven, but data are consistent with *cis*-regulatory divergence [26].

### 3.2. Beyond *Drosophila*

Studies of pigmentation development in *D. melanogaster* provide candidate genes that may contribute to pigmentation diversity in other insect lineages. Such an approach has been applied most extensively to the diverse wing patterns of butterflies. Comparing spatial patterns of gene expression among species has shown that differences in *engrailed*, *spalt* and *Distal-less* expression are associated with the diversification of color rings in butterfly eyespots [30]. These expression differences could be caused by *cis*-regulatory changes in the genes examined or by changes in their transcriptional activators. Although specific genetic changes have not yet been identified, studies mapping the genetic basis of variable wing pigmentation are consistent with changes at pleiotropic regulatory genes: *Distal-less* is associated with quantitative variation in eyespot size in *Bicyclus anynana* [31], *wingless* is associated with wing color in hybrids of *Heliconius* species [32], and *engrailed/invected* is associated with wing pattern polymorphism in *Papilio dardanus* [33]. Increased mapping resolution and/or functional analyses are ultimately needed to separate the effects of these candidate genes from linked loci.



The contribution of pigment synthesis genes to phenotypic diversity is also starting to be explored in different butterfly species. Genes from the ommochrome pathway (*white*, *vermilion*, *Henna*, and *cinnabar*) are expressed in developing butterfly wings in patterns correlating with the adult pigmentation [18,34]. Similarly, expression of genes in the melanin synthesis pathway (*TH*, *Ddc*, *yellow*, and *ebony*) correlates with larval cuticle pigmentation development in *Papilio* [35–37]. Orthologs of pigmentation effector genes have been identified in a number of other insects (e.g. [38–40]), and the mutant phenotypes analyzed thus far suggest that their roles in pigmentation development are conserved [41,42]. It remains to be seen how often genes identified through mutant analysis contribute to the genetic basis of evolutionary change [43].

### 3.3. Diversity within a developmental pathway

A paradigm is emerging for the genetic basis of pigmentation evolution that includes frequent changes in the identity of pleiotropic transcription factors regulating expression of pigmentation genes. These changes are mediated by the gain and loss of transcription factor binding sites in the *cis*-regulatory regions of these genes [5–7]. Changing the binding sites for patterning factors in *cis*-regulatory regions of more specialized effector genes is assumed to be less pleiotropic than changing the transcription factor genes themselves. This is because of the modular architecture of *cis*-regulatory regions, which have discrete elements controlling transcription in different spatiotemporal domains [44–47]. However, *cis*-regulatory changes in patterning genes encoding transcription factors can still affect expression of the transcription factor's target genes. Such is the case for *cis*-regulatory changes in the *bric-a-brac* gene; changing expression of *bric-a-brac* in the *D. melanogaster* abdomen alters pigmentation as well as the distribution of bristles and trichomes [28]. In general, *cis*-regulatory changes have reduced pleiotropy relative to changes in coding sequences, but it is important to remember that they do not necessarily eliminate pleiotropy completely.

## 4. Pigmentation and pleiotropy

Pleiotropy (i.e. a single gene or nucleotide affecting multiple traits) is often invoked as a mechanism to explain covariance among traits, but it can be difficult to prove. The strongest evidence for pleiotropy comes from phenotypic analysis of genotypes with single mutations. If a mutation alters more than one phenotype, it is said to be pleiotropic. Outside of genetic model systems, where mutational analyses are often not feasible, circumstantial evidence of pleiotropy is derived from correlated phenotypes in artificial selection experiments, natural populations, or across phylogenetic trees. While these correlations can indeed result from pleiotropy, they can also be caused by genetic linkage, shared environmental conditions, or correlated selection pressures. In insects, associations with pigmentation have been observed for behavior, immunity, life-history, physiological, and developmental traits. The following sections provide a sampling of these pleiotropic effects, with a focus on *Drosophila* melanogenesis, which offers the most unequivocal evidence of pleiotropy and the most complete understanding of the molecular mechanisms underlying it (see insightful review by John True [8]).

### 4.1. Pigmentation and behavior

An association with pigmentation has been described for diverse behaviors, including swarming [48], feeding [49], social dominance [50], mate preference [32], and courtship display [51]. The

molecular basis for these associations, as well as the data supporting them, are also varied. For example, in *Schistocerca gregaria* grasshoppers the alternative color/behavior morphs are the product of phenotypic plasticity [52,53], and the association between the two suggests shared components in their underlying developmental and/or physiological mechanisms. In *Tetrix undulate* pigmy grasshoppers, behavior and pigmentation both seem to be genetically determined [49], but there is no clear evidence of pleiotropy, suggesting correlated selection pressures. In *Heliconius* butterflies, genetic mapping has shown co-segregation of wing color and mate preference phenotypes in interspecific crosses [32], but the mapping resolution was insufficient to discriminate between pleiotropy and genetic linkage. In *Drosophila*, genetic analysis of wing pigmentation and courtship display indicates a role for both linkage and pleiotropy in the co-evolution of these traits [51].

The clearest evidence for pleiotropy between insect pigmentation and behavior comes from studies of pigmentation mutants in *D. melanogaster*. The genes *tan*, *ebony*, *Dopa-decarboxylase* and *yellow* all affect both body color and behavior [54]. These genes are expressed in epidermal cells, where they are used to synthesize pigments (Fig. 3), as well as in neurons and neuron-associated cells, where they putatively influence behavior [55–57]. The Tan protein catalyzes the conversion of N-beta-analyl-dopamine to dopamine during melanin production, and of carbinine to histamine during neurotransmission [58]. Ebony catalyzes the reverse hydrolysis reaction, suggesting it is playing a complementary role to Tan in the cycling of neurotransmitters [57]. The enzyme Dopa-decarboxylase functions upstream of Ebony and Tan in dopamine synthesis, and is required for the production of both histamine and serotonin neurotransmitters [59]. The *yellow* gene is upregulated in male-specific neurons, consistent with its requirement for normal male courtship behavior [56,60]. Links between pigmentation and neurobiology may even extend beyond melanin-related molecules: ommochrome-containing pigment granules have been found in the central nervous system of *Bombyx mori*, although their function there, if any, is not known [61].

### 4.2. Pigmentation and immunity

Another phenotype often associated with pigmentation in insects is immunity. This includes innate immunity, wound healing, and parasite defense. The presence of a brown-black pigment accompanying cellular innate immunity is a unique characteristic of arthropods [62] and can be used as an indicator of immune investment [63]. During wound healing in *Drosophila*, melanization serves as a “scab” to protect the epidermal opening during cellular repair [64]. In butterflies, epidermal wounding can induce the formation of pigments other than black-brown melanin [65], but the purpose and underlying mechanism of this induction are unknown. As structural components of the insect cuticle, pigments contribute to the physical barrier that protects the insect body [66]. When this barrier is penetrated, melanization is used to encapsulate the foreign object and prevent infection [67]. In natural populations, increased melanization improves the physical barrier against infection and/or the rate of parasite encapsulation (e.g. [68,69]). It has even been speculated that the melanin synthesis pathway functions as a mechanism for parasite recognition [62].

Genetic analysis of *D. melanogaster* mutants again provides strong evidence of pleiotropy between body color and immunity. Mutations in *pale* (encoding Tyrosine Hydroxylase) and *Dopa-decarboxylase* affect pigmentation and the melanin immune response [59,62,70,71]; and genes encoding phenoloxidases polymerize dopa and dopamine into melanins during pigmentation development as well as wound healing and encapsulation [69,72–75]. Despite the production of similar pigments, melaniza-

tion that occurs as part of the immune response and melanization that is used for body pigmentation, does not require all of the same genes. For example, the *yellow* gene, which is strictly required for the production of black melanin in the developing cuticle, is not necessary for the formation of a black melanin scab in a wounded fly (Wittkopp, unpublished observation).

#### 4.3. Pigmentation and other traits

Many other aspects of pigmentation and pigmentation development can also cause pleiotropy. In some cases, this pleiotropy results from properties of the pigments themselves. One example is desiccation resistance, in which melanin affects the permeability of the cuticle [76]; *Drosophila* with greater melanization are more resistant to desiccation [77,78]. Co-factors shared by multiple processes also result in pleiotropy. For example, mutations in a gene required for copper uptake (*DmATP7*) show defects in early embryonic development, larval growth, and adult pigmentation [79], with the pigmentation phenotypes resulting from disruption of copper-dependant phenoloxidase activity and the other phenotypes resulting from compromising other cuproenzymes. Intermediates produced during pigment synthesis are yet another source of pleiotropy. In addition to pigmentation and neuronal function, dopamine is required for cell migration in the developing trachea [80]. Even life-history traits such as longevity are affected by pleiotropic pigmentation genes. In *D. melanogaster*, single nucleotide polymorphisms associated with variation in longevity have been identified within the *Dopa-decarboxylase* [81] and *catsup* [82] genes. (*Catsup* is a negative regulator of Tyrosine Hydroxylase.) An effect on lifespan has also been described for genes involved in pteridine synthesis [83]. Descriptions of mutant phenotypes in Fly-Base, the online collection of data from *Drosophila* genetics [54], provide many more examples of pleiotropy for pigmentation genes. (When evaluating the extent of pleiotropy, it is important to note that the definition of a phenotype is subjective. What researchers may describe as two different traits may not be caused by distinct biological processes. For example, *tan* mutants show disrupted histamine levels and photoreceptor function [58]—presumably, one disruption causes the other.)

#### 5. Potential consequences of pleiotropy for evolutionary diversification

At first glance, pleiotropy is expected to impact adaptation by increasing the likelihood that a mutation altering pigmentation will affect other phenotypes. However, the great diversity in insect pigmentation argues against strong constraints and suggests that at least some of the potential limitations imposed by pleiotropy can be overcome. As discussed in Section 3.3, one way in which a pleiotropic gene can subdivide its functions is through modular *cis*-regulation. Most genes have multiple, independent *cis*-regulatory elements that control spatial and temporal gene expression; mutations in one element can have no effect on functions mediated by the other element [44–47]. A similar situation occurs within coding regions when the protein has trait-specific domains [84]. Rather than constraining overall pigmentation divergence, pleiotropy may primarily bias the specific genetic changes by which pigmentation evolves. In other words, pleiotropy may have a larger effect on the mode than on the extent of phenotypic diversification.

Despite the presence of discrete functional regions within a pleiotropic gene, the close physical proximity of these regions can still limit their independent evolution—especially over short timescales. Genetic linkage causes nearby regions to be inherited together more often than expected by chance, and many meioses are needed for sufficient recombination to “separate” two closely

linked sites. In natural populations of *D. melanogaster*, linkage disequilibrium typically extends a few hundred nucleotides [85], suggesting that functional modules located close to each other can be inherited together for long periods of time. (The specific extent of linkage disequilibrium varies greatly across the genome and among species, and is affected by selection, recombination rate, and demographic parameters.) In a gene such as *yellow*, which has separate *cis*-regulatory elements affecting adult body pigmentation and behavior that are located ca. 400 bp apart [60], a new mutation affecting one trait will often be transmitted with the linked allele affecting the other trait. With sufficient time and/or large enough population sizes, variants in these different modules can be inherited independently, allowing for uncorrelated evolution of the traits. However, over shorter evolutionary timescales and especially in smaller populations, physical linkage between neighboring mutations affecting different *cis*-regulatory elements can cause correlated changes among phenotypes. In this way, pleiotropic effects of alleles may persist despite independent functional regions.

An additional challenge for thinking about the effects of pleiotropy on evolution is that pleiotropy is ultimately a property of alleles rather than genes. Loss-of-function alleles that significantly disrupt (or completely eliminate) a gene's activity are typically used in the laboratory to determine the gene's function and assess pleiotropy, but such extreme mutations are rarely found in natural populations. Alleles segregating in the wild tend to have more moderate effects. For example, mutant phenotypes indicate that *ebony*, *bric-a-brac*, and *optomotor-blind* are all pleiotropic genes, yet they exhibit variation in natural populations that correlates with pigmentation diversity [23–25]. Studies of sequence variation in *catsup* show precisely how pleiotropy can be subdivided by single nucleotide polymorphisms [82]. A recent analysis of quantitative trait loci in mice is also consistent with this idea, showing that individual alleles observed in quantitative genetic mapping studies are less pleiotropic than was indicated by developmental genetic analysis [86]. Taken together, these studies suggest that mutations that somehow subvert a gene's pleiotropic effects may be over-represented in natural populations.

#### 6. The promise of pigmentation

As shown in this review, insect pigmentation is a burgeoning area of research; one that has already provided critical insights into the developmental and genetic mechanisms of phenotypic evolution. Despite these contributions, many questions remain. Of these, perhaps the most pressing are “Do the findings from *Drosophila* hold for other species?” and “Will extending the color pallet lead researchers to paint a different picture of pigmentation evolution?” Detailed genetic analysis of natural variation in other insects and in other pigment pathways will address these questions. Such studies will reveal, for example, whether the predominant role of *cis*-regulatory evolution observed for *Drosophila* melanin patterning is a general feature of insect pigmentation divergence. Studies of morphological traits controlled by pleiotropic developmental pathways in a variety of organisms suggest *cis*-regulatory changes are indeed a pervasive source of phenotypic diversification (examples in [87–90]; recent reviews [45,46]), while studies of variation in traits controlled by developmental systems with less pleiotropy—such as melanin synthesis activated by the dedicated Melanocortin 1 receptor in specialized vertebrate cells—suggest changes in amino acid sequence can be common as well [91].

With genetic and genomic analysis now feasible outside of classical model organisms [92–94], researchers can begin capitalizing on what makes pigmentation such a unique system: its astounding diversity. Growing collections of genes in diverse groups of

insects allow a less “Drosophila-centric” investigation of this diversity, which is critical for determining the role of lineage-restricted genes and developmental processes in phenotypic evolution.

Pigmentation is also well-suited for merging evolutionary developmental biology studies of interspecific divergence with quantitative genetic analysis of intraspecific variation. An integration between these two (often disparate) fields is essential for understanding the relationship between variation within species and divergence between species [47,95]. Finally, intricate color patterns are a model for complex traits because they require the activity of many genes and interactions between them. Gene-by-environment interactions – an important, yet under-studied determinant of complex traits – can also be examined in this system: developmental plasticity for insect pigmentation is common (e.g. [7,10]), and some mechanisms by which environmental cues affect pigmentation have been identified [96,97].

In summary, insect pigmentation holds great promise for linking variation in genes to variation in development, variation in development to variation in phenotypes, and variation in phenotypes to variation in fitness, which is necessary for a comprehensive understanding of evolutionary diversification and the genetics of adaptation.

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